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# A step-by-step procedure for pH model construction in aquatic systems

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**BGD**

4, 3723–3798, 2007

**pH model  
construction in  
aquatic systems**

A. F. Hofmann et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion

**EGU**

Abstract

We present, by means of a simple example, a comprehensive step-by-step procedure to consistently derive a pH model of aquatic systems. As pH modeling is inherently complex, we make every step of the model generation process explicit, thus ensuring conceptual, mathematical, and chemical correctness. Summed quantities, such as total inorganic carbon and total alkalinity, and the influences of modeled processes on them are consistently derived. The model is subsequently reformulated until numerically and computationally simple dynamical solutions, like a variation of the operator splitting approach (OSA) and the direct substitution approach (DSA), are obtained. As several solution methods are pointed out, connections between previous pH modelling approaches are established. The final reformulation of the system according to the DSA allows for quantification of the influences of kinetic processes on the rate of change of proton concentration in models containing multiple biogeochemical processes. These influences are calculated including the effect of re-equilibration of the system due to a set of acid-base reactions in local equilibrium. This possibility of quantifying influences of modeled processes on the pH makes the end-product of the described model generation procedure a powerful tool for understanding the internal pH dynamics of aquatic systems.

1 Introduction

Human activities have increased atmospheric CO<sub>2</sub> levels by 36% since pre-industrial times, and further increases are expected over the next decades (Prentice et al., 2001; Alley and al., 2007). Rising atmospheric CO<sub>2</sub> levels lead to an input of CO<sub>2</sub> into the oceans and to a subsequent acidification of surface waters (e.g. Orr et al., 2005). Against this background, it is of high importance to be able to analyze the impact of different biogeochemical processes onto alkalinity and the pH of natural waters (Sarmiento and Gruber, 2006; Stumm and Morgan, 1996). In recent years, various

BGD

4, 3723–3798, 2007

pH model construction in aquatic systems

A. F. Hofmann et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion

EGU

pH modeling approaches have been developed. These range from simple empirical correlations (Bjerknes and Tjomsland, 2001), over neural network approaches (Moatar et al., 1999), to mechanistic biogeochemical models that include reactive transport descriptions of varying complexity (e.g. Luff et al., 2001; Jourabchi et al., 2005). Mechanistic models have the advantage that they not only reproduce pH, but also allow prediction and quantitative analysis of the processes and mechanisms that govern pH. As a result, they are a powerful tool to understand pH dynamics of aquatic systems.

However, there are still two pending problems with mechanistic pH models. The first issue relates to the apparent diversity of approaches. Most modeling approaches have been presented without cross linking to other methods. As a result, it is difficult to assess whether approaches are mutually consistent, i.e., whether they would predict the same pH dynamics for exactly the same underlying biogeochemical model. Moreover, it is not clear whether all solution techniques yield the same amount of information with respect to pH dynamics, and what their respective advantages are. Only some approaches are able to quantify the contribution of the different modeled processes on the pH.

The second issue relates to the complexity of the present approaches. The construction of pH models is inherently complex, involving many sequential steps and assumptions. It is important to deal with this complexity by making every assumption explicit and justifying every step. Even for a relatively simple biogeochemical system, the model generation procedure becomes quite lengthy and intricate. A disadvantage of recent pH modeling approaches is that they have been typically applied to complex reaction sets, generating lengthy expressions. The illustration of a complex solution procedure with a complex model is not always optimal. Accordingly, there is a clear need to illustrate the various approaches to model pH with a single simple biogeochemical model.

The objective of the present study is to provide a generic step-by-step procedure to construct and solve a pH model for an aquatic system. We will illustrate this step-by-step approach using an example, i.e., by constructing a pH model for a simple estuarine

**pH model  
construction in  
aquatic systems**

A. F. Hofmann et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion

system. This example is simple enough to facilitate understanding, yet complex enough to illustrate all features of the pH modeling approach. Accordingly, the focus lies on concepts and principles rather than on mimicking the biogeochemical complexity of real aquatic systems. Models of more realistic and complex systems can be built by suitably changing the transport formulation or extending the reaction set. Our analysis involves a number of sequential reformulations of the pH problem until elegant and efficient numerical solutions are possible. Along the way, we outline the implicit and explicit assumptions that are needed in every step of the procedure. This enables us to identify the weaknesses and strengths of past modeling procedures and solution methods. Our work therefore does not introduce a novel approach to pH modelling, but gives a systematic framework which encompasses existing approaches.

## 2 pH model construction: a step-by-step procedure

### 2.1 Step 1: Formulation of the model questions

Our example system is the upper Schelde estuary in northern Belgium (Fig. 1a). The model domain includes 40 km of river ranging from the inflow of the Rupel tributary to the Belgian-Dutch border. A set of characteristic parameters is given in Table 14. Our principal goal is to examine the pH changes associated with some (drastic) perturbations in the biogeochemistry of this estuary. Two scenarios are examined:

1. The estuary receives municipal water from the city of Brussels, which is one of the last major European cities to implement a coordinated waste water treatment policy. In 2007, a new sewage treatment plant for 1.1 million inhabitants has started operating, and it is estimated that this will reduce the organic matter input to the estuary by 50%. How will the pH of the estuary react to this abrupt change? Which biogeochemical processes govern the dynamic pH equilibrium before and after the reduction?

## pH model construction in aquatic systems

A. F. Hofmann et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion

2. Alongside the estuary lies the port of Antwerp, which concentrates one of the largest chemical industries in the world. The port harbours a large fertilizer industry with associated ship traffic of resources and products. Potential hazard scenarios involve ship accidents with tankers carrying ammonia or ammonium-nitrate. What are the effects of such pulse-inputs on the estuarine pH and its dynamical equilibrium?

## 2.2 Step 2: Formulation of the conceptual model

In general, the concentration of a chemical species  $[X]$  in an aquatic system is influenced by a set of physical (transport) processes  $\mathbf{P}^j$ , and a set of biogeochemical reactions  $\mathbf{R}^i$ . The resulting mass conservation equation (MCE) (Morel and Hering, 1993) reads

$$\frac{d[X]}{dt} = \sum_j \mathbf{P}_X^j + \sum_i \nu_X^i \mathbf{R}^i \quad (1)$$

where  $\nu_X^i$  is the stoichiometric coefficient of species  $X$  in the  $i$ -th reaction. Throughout this paper, all species concentrations  $[X]$  are expressed as per kg of *solution*. A crucial step in the model development is the decision which physical and biogeochemical processes to include in the model. This decision should be based on prior knowledge about the physics and biogeochemistry of the system. For our model, two physical processes are included: advective-dispersive transport  $\mathbf{T}_X$  along the length axis, and the exchange of volatile compounds with the atmosphere  $\mathbf{E}_X$ . To keep the mathematical expressions tractable, the estuary is modeled as a single box. Note that the implementation of a spatially explicit description would be entirely analogous in terms of pH modeling. The  $\mathbf{T}_X$  terms would simply give rise to partial rather than ordinary differential equations.

To assist in the selection of the biogeochemical reactions, Table 1 provides an overview of the relative importance of the various processes in the Schelde estuary.

**BGD**

4, 3723–3798, 2007

## pH model construction in aquatic systems

A. F. Hofmann et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion

**EGU**

From the six biogeochemical reactions listed, we only retain pelagic oxic respiration  $R_{ox}$  and pelagic nitrification  $R_{nit}$ . These are described according to reaction stoichiometries:

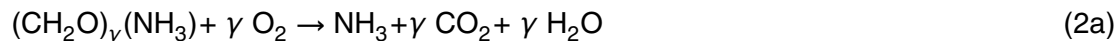


Table 1 shows that pelagic primary production, benthic denitrification and benthic respiration can be justifiably neglected. Pelagic denitrification was important in the seventies, but, due to improved water quality, is now of minor significance (Soetaert et al., 2006). For this reason and for didactical purposes, we did not include it (to avoid lengthy expressions in the mathematical derivations). However, we will include it a posteriori to check on the importance of denitrification in pH regulation of the model domain.

Since our aim is to model the pH, a number of acid-base reactions have to be accounted for. To select these reactions, we first have to constrain the set of chemical species that are modelled. For simplicity, we consider the estuary as an aqueous solution of the three most abundant seawater ions  $Cl^-$ ,  $Na^+$ , and  $SO_4^{2-}$  (DOE, 1994). For a realistic model application other quantities like borate might be important, but we neglect these to keep the model as simple as possible. Furthermore, we also incorporate organic matter, nitrate, oxygen and the ammonium and carbonate systems, as these species feature in the retained reactions ( $R_{ox}$  and  $R_{nit}$ , Eq. 2). Table 2 lists a set of acid-base dissociation reactions  $R_i^{dis}$  that involve all the mentioned chemical species. This finalizes the conceptual model formulation – see scheme in Fig. 1b.

### 2.3 Step 3: Constraining the model pH range

Currently there are different definitions for pH in use, which all express the “protonating capability” of a solution. The difference between these so-called pH scales relates to the calibration buffers that are used in pH measurements, which then determine

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion

the type of equilibrium constants ( $K^*$  values) that should be used in calculations. A detailed description of these pH scales is beyond the scope of this paper – see [Dickson \(1984\)](#) and [Zeebe and Wolf-Gladrow \(2001\)](#). Here, we model the free gravimetric proton concentration  $[H^+] = [H_3O^+]$ , and the associated pH scale is the *free hydrogen ion concentration scale* ([Dickson, 1984](#)), which is defined as

$$\text{pH} = -\log_{10} \left( \frac{[H^+]}{[H^+]_{\text{ref}}} \right) \quad (3)$$

The reference proton concentration  $[H^+]_{\text{ref}} = 1 \text{ mol kg}^{-1}$  makes the quantity within the logarithm dimensionless.

After the selection of the pH scale, we can proceed to a formal delineation of the pH range of the model. This setting of the pH range determines which dissociation reactions should be incorporated. Note that most pH modeling approaches do not explicitly mention this step. In these, the set of acid-base reactions is simply imposed without further consideration. However, models are simplified representations of reality, and they should be kept as simple as possible. Therefore, they should not include unnecessary “ballast”, i.e., they should not carry along processes that do not influence the outcome of the simulations. This is particularly true for pH models, which are computationally demanding. Accordingly, one should avoid incorporating dissociation reactions that have no chance of affecting the pH dynamics.

Therefore, we propose a formal and explicit procedure for the selection of acid-base reactions which is based on prior knowledge about the buffering capacity and observed pH ranges of the specific system. In our case, we know that the part of the Schelde estuary which we model is strongly buffered, as are most estuarine and marine systems, with a total alkalinity [TA] of  $\approx 5000 \mu\text{mol kg}^{-1}$  (estimated from upstream and downstream boundary conditions given in table 14). We furthermode know that the pH only fluctuates over a moderate range from 7.5 to 8. Nonetheless, we anticipate stronger excursions because of the quite drastic perturbation scenarios outlined above. Allowing a suitable margin, we require that the model should represent the pH dynamics

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion



properly within a pH range of 6 to 9. This constraint enables us to reduce the reaction set in Table 2 considerably.

Reactions with a pK value within the dedicate pH range of 6 to 9 are automatically selected. For acid-base reactions with pK values outside of this range, Appendix A details a formal selection procedure. In this procedure, a quantity  $\epsilon$  is calculated, which represents the amount of protons ignored by neglecting the reaction in question, in percent of the average [TA] of the modeled system. Finally, we exclude all reactions whose  $\epsilon$  value is smaller than 0.5%. This means the total amount of protons which could be taken up or released in the model, if the reaction in question would be included and the pH reaches the border of the pH range, is less than half a percent of typical alkalinity levels of the system.

Applying this rule ( $\epsilon$  values are given in Table 2), we do not need to incorporate the dissociation reactions of HCl, NaOH, H<sub>2</sub>SO<sub>4</sub>, HSO<sub>4</sub><sup>-</sup>, HNO<sub>3</sub> and H<sub>2</sub>O. Those equilibria are assumed to be completed outside the selected pH range. Table 3 shows the reduced set of acid-base reactions considered in the model. Technically, it would not be “wrong” to include the other reactions. However, there is no reason to do so, provided that the simulated pH stays within the range [6–9] (this should be checked a posteriori).

Note that the auto-dissociation reaction of water is not included in Table 3. Effectively, this equilibrium reaction has been treated in a rather arbitrary fashion in past models. The auto-dissociation of water is included in some models (e.g. Jourabchi et al., 2005), while excluded from others (e.g. Luff et al., 2001). Usually, the reasons for inclusion or exclusion are not mentioned. Here however, our formal selection procedure predicts that it be will unimportant (we will check this a posteriori).

## 2.4 Step 4: A mass conservation equation (MCE) for each species

Overall, our model set includes a set of  $n_p=7$  reactions ( $R_{ox}$ ,  $R_{nit}$ ,  $R_{NH_4^+}^{dis}$ ,  $R_{CO_2}^{dis}$ ,  $R_{HCO_3^-}^{dis}$ ) and processes ( $T_x$ ,  $E_x$ ) that feature a set of  $n_s=9$  chemical species:

OM, O<sub>2</sub>, NO<sub>3</sub><sup>-</sup>, CO<sub>2</sub>, HCO<sub>3</sub><sup>-</sup>, CO<sub>3</sub><sup>2-</sup>, NH<sub>4</sub><sup>+</sup>, NH<sub>3</sub>, and H<sup>+</sup>

## pH model construction in aquatic systems

A. F. Hofmann et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion

Note that organic matter  $(\text{CH}_2\text{O})_Y(\text{NH}_3)$  has been abbreviated by OM and that  $\text{Cl}^-$ ,  $\text{Na}^+$ ,  $\text{HSO}_4^-$ ,  $\text{SO}_4^{2-}$ ,  $\text{NaOH}$  and  $\text{OH}^-$  are not modeled, since their concentrations are not affected by the modeled reactions and chemical equilibria (therefore they have no influence on  $[\text{H}^+]$ ). Implicitly,  $\text{Cl}^-$ ,  $\text{Na}^+$ ,  $\text{HSO}_4^-$ , and  $\text{SO}_4^{2-}$  are accounted for in the model in the form of a constant salinity  $S$ . Although  $\text{H}_2\text{O}$  does feature in the biogeochemical reactions retained in the model ( $\mathbf{R}_{\text{ox}}$  and  $\mathbf{R}_{\text{nit}}$ ; Eqs. 2a and b) and in the set of acid-base reactions (Table 3), its concentration is nevertheless considered constant, since it is much higher than all other concentrations in the model (Morel and Hering, 1993). The resulting mass conservation equations for all 9 chemical species are given in Table 4, where as before  $\mathbf{T}_X$  denotes advective-dispersive transport of chemical species X and  $\mathbf{E}_X$  denotes the exchange of chemical species X with the atmosphere.

At this point, a first attempt of solving the system can be made.

*Solution method [1]:* The equation set in Table 4 represents an initial-value explicit ordinary differential equation (ODE) system (Fabian et al., 2001). Using suitable kinetic expressions for all modeled process rates (i.e. for the forward and backward rates for acid-base reactions), this system is fully determined and can be directly solved by common numerical integration techniques, such as Euler or Runge-Kutta integration (Press et al., 1992) or more complex integration schemes. This solution procedure is referred to as the *Full Kinetic Approach (FKA)* (Steefel and MacQuarrie, 1996; Meysman, 2001).

For the reaction  $\mathbf{R}_{\text{NH}_4^+}^{\text{dis}}$ , for example, suitable kinetic expressions for the forward and backward reaction would be

$$\left(\mathbf{R}_{\text{NH}_4^+}^{\text{dis}}\right)_{\text{forward}} = k_f [\text{NH}_4^+] \quad (4)$$

$$\left(\mathbf{R}_{\text{NH}_4^+}^{\text{dis}}\right)_{\text{backward}} = k_b [\text{NH}_3][\text{H}^+] \quad (5)$$

**BGD**

4, 3723–3798, 2007

## pH model construction in aquatic systems

A. F. Hofmann et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion

**EGU**

with  $R_{\text{NH}_4^+}^{\text{dis}} = \left(R_{\text{NH}_4^+}^{\text{dis}}\right)_{\text{forward}} - \left(R_{\text{NH}_4^+}^{\text{dis}}\right)_{\text{backward}}$  and  $k_f$  and  $k_b$  being the forward and backward rate constants. Whereas Zeebe and Wolf-Gladrow (2001) give formulations for forward and backward rate constants of some acid-base systems relevant in seawater, problems might arise to find suitable and well constrained formulations for all required rate constants.

Numerically, the FKA is a rather primitive approach, and it is bound to lead to very long computation times and numerical problems. The main problem is that the transport and reaction processes that are included in the model occur on widely different time scales. Table 5 gives approximative values for the characteristic time  $\tau$  of each process.

These characteristic times span several orders of magnitude, ranging from microseconds to days. This phenomenon is called numerical *stiffness* (Boudreau, 1996b). Problems that are numerically stiff basically require special integration methods or rather small time steps in order to insure accuracy. Effectively, the process with the smallest characteristic time scale will set the pace of how the integration procedure progresses with time. Given the small time scales of the acid base reactions, pH models are virtually impossible to solve with the FKA, even with integration methods that are specifically geared towards stiff problems (Chilakapati et al., 1998). Additionally, as mentioned before, problems might arise in obtaining well-constrained forward and backward rate constants for acid-base reactions. In conclusion, the FKA does not form a good choice for pH problems. As shown below, more refined alternatives to the FKA exist which do not depend on acid-base forward and backward rate constants and drastically reduce the computation time.

## 2.5 Step 5: Kinetic and equilibrium processes and species

Table 5 shows that the characteristic time scales cluster in two groups. There is a group of comparatively slow processes happening on a timescale of days (Processes 1 to 4) and a group of comparatively fast processes happening on timescales of fractions of a

**BGD**

4, 3723–3798, 2007

## pH model construction in aquatic systems

A. F. Hofmann et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion

**EGU**

second to seconds (Processes 5 to 7). If the rate of one process is “sufficiently fast” compared to that of another process, this process can be assumed in local equilibrium on the timescale of the “slower” process (Saaltink et al., 1998). This allows to group the processes into slow *kinetic processes*, for which the rates are expressed using kinetic expressions, and fast *equilibrium processes*, which are considered in local equilibrium at any time. This means that although there might exist kinetic formulations (e.g. the forward and backward rates of the carbonate system, see Zeebe and Wolf-Gladrow, 2001), the reaction rates are treated as mathematical unknowns and solved for afterwards.

The designation of processes as “kinetic” or “equilibrium” also entails a corresponding classification of the species. *Kinetic species* are those species whose concentrations are exclusively influenced by kinetic processes, while *equilibrium species* are species that take part in at least one equilibrium reaction.

The grouping in kinetic and equilibrium processes depends on the characteristic time-scale of the model (cf. Saaltink et al., 1998). In our model simulations, the goal is to examine the pH changes over a period of days to weeks. Accordingly, the reference time-scale to which to compare processes as “fast” and “slow” is on the order of one hour (the minimal time resolution of the simulations). As can be seen from Table 5, the acid-base dissociation reactions have a much smaller time-scale, while the other processes have a larger time-scale. Table 6 provides the resulting grouping of processes and species.

Note that the assignment of a process to the kinetic or equilibrium group is not absolute: it depends on the model time-scale, and hence, on the questions addressed by the model. In our case, the exchange with the atmosphere is catalogued as a kinetic process. However, in a model that describes the pH evolution in the ocean over a million year time-scale, exchange with the atmosphere can be considered an equilibrium process. Similarly, the dissociation Reactions (5) to (7) are classified as equilibrium processes in our model. Yet, in a model that focuses on the fast relaxation of intracellular pH (model time-scale of seconds), these same Reactions (5) to (7) would be

pH model  
construction in  
aquatic systems

A. F. Hofmann et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion

considered kinetic reactions.

The Processes (1) to (4) from Table 5 are modeled kinetically, and hence, we need to provide suitable constitutive expressions for their process rates. We describe oxic respiration and nitrification as first order processes with respect to [OM] and [NH<sub>4</sub><sup>+</sup>] respectively, and with a Monod dependency on [O<sub>2</sub>]. The advective-dispersive transport is simply modeled as an exchange across the upstream and downstream system boundaries. The exchange with the atmosphere is described by the classical reaeration mechanism (Thomann and Mueller, 1987). Table 7 lists the resulting kinetic expressions, parameters are given and explained in Table 14.

## 2.6 Step 6: Mathematical closure of the system – the mass action laws

The local equilibrium assumption implies that the rate of the dissociation reactions cannot be calculated a priori (as no kinetic expressions are imposed). In other words, the equilibrium reaction rates  $R_{\text{CO}_2}^{\text{dis}}$ ,  $R_{\text{HCO}_3^-}^{\text{dis}}$ , and  $R_{\text{NH}_4^+}^{\text{dis}}$  become additional unknowns to the problem. As a result, the system in table 4 becomes an underdetermined system with 9 equations (the MCE's) and 12 unknowns (9 species concentrations and the 3 equilibrium reaction rates). To solve this system, it has to be mathematically closed. Since the equilibrium processes are assumed to be in local equilibrium at all times, we can use their equilibrium mass-action laws as additional algebraical constraints (Morel and Hering, 1993).

Including the mass action laws results in a fully determined initial-value differential algebraic equation (DAE) system (Fabian et al., 2001) (Table 8). The structure of this DAE system can be generalized as:

$$\frac{dy}{dt} = f(t, y, z) \quad (6a)$$

$$0 = g(y) \quad (6b)$$

where  $t$  is time. The DAE system is split into two parts: a differential part containing differential equations (Eq. 6a), and an algebraic part containing equations with no

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion

differentials (Eq. 6b). It also contains two types of variables: the variables  $y$  whose differentials  $\frac{dy}{dt}$  are present (*differential variables* – the species concentrations) and the variables  $z$  whose differentials are absent (*algebraic variables* – the unknown equilibrium reaction rates  $\mathbf{R}_{\text{NH}_4^+}$ ,  $\mathbf{R}_{\text{CO}_2}$ , and  $\mathbf{R}_{\text{HCO}_3^-}$ ). The algebraic part of the DAE system (Eq. 6b) only contains the differential variables  $y$ , and not the *algebraic variables*  $z$  (the equilibrium reaction rates). As can be seen from Table (8), the DAE system is fully determined (12 equations for 12 unknowns).

To our knowledge, this DAE system cannot be numerically integrated in the above form, despite being fully determined. To this end, the DAE system has to be reformulated first. For example, the DASSL routine (Differential Algebraic System Solver; Petzold, 1982) can solve implicit DAE systems (with suitable initial conditions given) of the form:

$$F(t, y, \frac{dy}{dt}) = 0 \quad (7)$$

This means that, if one wants to use the DASSL solver, the DAE equations may contain differentials of more than one variable, but the whole equation system can no longer contain the algebraic variables  $z$ . In the next step, we will discuss a suitable transformation that brings the DAE system in Table 8 in a form that can be solved by DASSL.

## 2.7 Step 7: Reformulation 1: canonical transformation

The system can be brought into a DASSL-solvable form by means of a *canonical transformation* procedure as discussed in Steefel and MacQuarrie (1996), Lichtner (1996), Saaltink et al. (1998), Chilakapati et al. (1998) and Meysman (2001). During this transformation, the unknown equilibrium reaction rates are eliminated from the system. In a system with  $n_{es}$  equilibrium species and  $n_{ep}$  equilibrium reactions, the  $n_{es}$  differential MCEs of the equilibrium species are then replaced by  $n_{ei} = n_{es} - n_{ep}$  combined MCEs which do no longer contain the unknown equilibrium reaction rates. Appendix B details this procedure for our problem. In our case the canonical transformation of the system

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion

results in the reformulated DAE system as given in Table 9, which contains 9 variables and 9 equations. Note that the transformation procedure also provides explicit expressions for the unknown equilibrium reaction terms (see Appendix B). These can be used as output variables in the model and are sometimes the property of interest.

*Solution method [2]:* The model in Table 9 can be directly solved with the differential algebraic system solver DASSL (Petzold, 1982). This approach is referred to as the *Full Numerical Approach (FNA)*.

Still, this full numerical approach is not the most elegant way to approach the pH calculation. The equation set is supplied “as it is” to an external numerical solver routine, which then performs the number crunching. A further reformulation explicitly takes advantage of the chemical structure of the pH problem, thus allowing for less intricate numerical methods.

## 2.8 Step 8: Introduction of equilibrium invariants

The Eqs. (4) to (6) of Table 9 contain differentials of multiple species on their left-hand sides – this means the differential part of the DAE system is not explicit and cannot be solved by common integration methods such as Euler integration. To obtain a single differential on the left-hand side, one can introduce composite variables – as done in Table 10 for our model. These composite concentration variables are referred to as *equilibrium invariants*. The reason for this nomenclature is straightforward. The right hand sides of Eqs. (4) to (6) do no longer contain the equilibrium reaction rates, and as a consequence, the rate of change of the equilibrium invariants is not influenced by the equilibrium reactions. Chemically, these equilibrium invariants thus can be seen as quantities that are conservative or invariant with respect to the equilibrium reactions. Note that the definition of the equilibrium invariants introduces  $n_{ei}=3$  new variables. To keep the DAE system determined, the definitions of the equilibrium invariants have to be added.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion

The equilibrium invariants are in fact familiar quantities. We immediately recognize A and B as the total carbonate (DIC) and total ammonium concentrations, which are denoted  $[\sum \text{CO}_2]$  and  $[\sum \text{NH}_4^+]$  (Zeebe and Wolf-Gladrow, 2001). The equilibrium invariant C is termed the alkalinity [TA]. Again it has a familiar form: it is a subset of the total alkalinity [TA] as defined by Dickson (1981), which forms the starting point of most pH model approaches. Still a number of subtleties should be stressed:

- 1) In this approach, the alkalinity definition follows directly from the canonical transformation procedure and the elimination of equilibrium process rates. Accordingly, the specific terms of the alkalinity are not postulated a priori like in many previous pH modeling procedures (e.g. Regnier et al., 1997; Luff et al., 2001; Jourabchi et al., 2005).
- 2) The alkalinity definition is linked to a particular choice of the kinetic and equilibrium reactions. Accordingly, when the reaction set is modified, the alkalinity definition might change as well. Also, even when keeping the same reaction set but choosing a different model time-scale, one could arrive at a different alkalinity definition.
- 3) The right-hand side of the [TA] Eq. (6) in Table 9 does not contain the rate of any equilibrium reaction. This immediately shows that the alkalinity is a true equilibrium invariant, i.e., [TA] is not influenced by equilibrium reactions, even though all its constituents are affected by these reactions (Similarly the temperature invariance of [TA] can be inferred, since on the timescale of an integration timestep, temperature only influences the acid-base equilibrium reactions, and these do not influence [TA]).
- 4) The influence of kinetic processes on [TA] can be directly inferred from the right-hand side of the [TA] Eq. (6) in Table 9. This implies that one does not need to invoke the electroneutrality of the solution or the notion of explicit conservative total alkalinity  $[\text{TA}]_{ec}$  as advocated by Wolf-Gladrow et al. (2007) to obtain the influences of kinetic processes on [TA].

## pH model construction in aquatic systems

A. F. Hofmann et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion



Please note that, as illustrated in Table 11, canonically transforming the system and introducing equilibrium invariants is a formal mathematical way of finding suitable *components* for writing the system in Morel's *tableau* notation Morel and Hering (1993) including the corresponding mole balance equations.

5 2.9 Step 9: Reformulation 2: Operator splitting

The algebraic part of our DAE system now consists of the mass action relations (Eqs. 7–9 in Table 9) and the definitions of the equilibrium invariants (Table 10). These equations feature the equilibrium species. However, each of the equilibrium species concentrations ( $[\text{CO}_2]$ ,  $[\text{HCO}_3^-]$ ,  $[\text{CO}_3^{2-}]$ ,  $[\text{NH}_3]$  and  $[\text{NH}_4^+]$ ) can be readily expressed in terms of the proton concentration  $[\text{H}^+]$  and the associated equilibrium invariants ( $[\sum \text{CO}_2]$  and  $[\sum \text{NH}_4^+]$ ). Appendix C describes this reformulation of the algebraic part of the DAE system. As a result, we obtain a novel DAE system (Table 12) where both the DE part and the AE part are reformulated in terms of the equilibrium invariants.

At this point, we arrive at yet another solution approach.

15 *Solution method [3a]:* Although it still can be solved with DASSL, the system given in Table 12 can be solved with less numerical effort using the *Operator Splitting Approach* (OSA). This two step approach decouples the DAE system into an ordinary DE system describing the kinetic reactions and an AE system that governs the equilibrium part (Luff et al., 2001; Meysman, 2001).

At each time step, the DE system is numerically integrated, e.g., with an Euler integration routine (Press et al., 1992), which provides values for the differential variables (kinetic species and equilibrium invariants) at the next time step. Subsequently, the AE system is solved at each timestep using the values for the differential variables provided by the numerical integration. Due to its nonlinearity in  $[\text{H}^+]$ , the AE system must be solved numerically (e.g. using the van Wijngaarden-Dekker-Brent method or the Newton-Raphson method given by Press et al., 1992) to find the root ( $f([\text{H}^+])=0$ )

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion

of the function:

$$\begin{aligned}
 f([H^+]) &= [TA] - ([HCO_3^-] + 2 [CO_3^{2-}] + [NH_3] - [H^+]) \\
 &= [TA] - \left( (f_2^C([H^+]) + 2 \cdot f_3^C([H^+])) \cdot [\sum CO_2] \right. \\
 &\quad \left. + f_1^n([H^+]) \cdot [\sum NH_4^+] - [H^+] \right)
 \end{aligned} \tag{8}$$

The classical OSA (solution method 3a) takes advantage of the specific structure of the model to solve it in a more elegant fashion than the FNA using DASSL. Still it requires at each time step the iteration between a numerical integration solver and a numerical root-finding technique, which might be computationally demanding.

*Solution method [3b]:* Recently, a modified OSA has been proposed (Follows et al., 2006), which is numerically faster. Rather than solving Eq. (8) directly, it acknowledges that carbonate alkalinity ( $[CA] = [HCO_3^-] + 2 [CO_3^{2-}]$ ) contributes most to total alkalinity.

In our case, using the proton concentration of the previous timestep  $[H^+]_{prev}$ , the modelled carbonate alkalinity can be estimated by:

$$[CA] \approx [TA] - f_1^n([H^+]_{prev}) \cdot [\sum NH_4^+] - [H^+]_{prev} \tag{9}$$

which allows a first guess for the  $[H^+]$  at the current time step by analytically solving the quadratic equation:

$$0 = [CA][H^+]^2 + K_{CO_2}^* \left( [CA] - [\sum CO_2] \right) [H^+] + K_{CO_2}^* K_{HCO_3^-}^* \left( [CA] - 2[\sum CO_2] \right) \tag{10}$$

This first guess for  $[H^+]$  is then used to evaluate Eq. (8) and test if its root has been found (with sufficient accuracy). If not, the first guess for  $[H^+]$  is used to calculate a better estimate for  $[CA]$  and the procedure is iteratively repeated. Iteration is mostly not necessary for buffered systems.

Note that this method also works if there are more minor contribution terms to  $[TA]$  than in our simple example. Note further that this method is inspired by the classical

pH calculation methods of **Culberson (1980)**, who analytically solves a cubic equation for systems with total alkalinity consisting of carbonate and borate alkalinity only, and **Ben-Yaakov (1970)**, who iteratively solves an equation for  $[H^+]$  by starting with an initial guess and by subsequent uniform incrementation of  $[H^+]$ .

Although this improved OSA approach (solution method 3b) is advantageous, it still does not allow assessing the influences of modelled kinetic processes on the pH. A further reformulation of the system is possible, which avoids numerical root-finding as well as the iterative procedure according to **Follows et al. (2006)** and allows for the assessment of the influences of modelled kinetic processes (including subsequent re-equilibration of the system) on the pH.

## 2.10 Step 10: Reformulation 3: Direct substitution

The classical OSA needs a numerical root-finding procedure because the AE part is non-linear with respect to the unknown proton concentration  $[H^+]$ . Therefore, if one could make  $[H^+]$  a differential variable, its value would be known before the solution of the AE system. This way, the AE system could be solved analytically and the numerical root-finding procedure would not be necessary. To achieve this goal, the differential equation for  $[TA]$  in Table 12 should be substituted by a differential equation in  $[H^+]$ . Partially following the ideas developed by **Jourabchi et al. (2005)** and **Soetaert et al. (2007)**, this can be done by starting with the total derivative of the equilibrium invariant  $[TA]$ .

Equation (12) in Table 12 tells us, that if all the dissociation constants ( $K^*$ 's) are constant, the equilibrium invariant  $[TA]$  can be written as a function of exclusively the proton concentration and the equilibrium invariants

$$[TA] = f \left( [H^+], [\sum CO_2], [\sum NH_4^+] \right) \quad (11)$$

These variables are functions of time  $t$ . Consequently, the total derivative of  $[TA]$  can

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion

be written as

$$\frac{d[\text{TA}]}{dt} = \frac{d[\text{H}^+]}{dt} \frac{\partial[\text{TA}]}{\partial[\text{H}^+]} \Big|_{c,n} + \frac{d[\sum \text{CO}_2]}{dt} \frac{\partial[\text{TA}]}{\partial[\sum \text{CO}_2]} \Big|_{h,n} + \frac{d[\sum \text{NH}_4^+]}{dt} \frac{\partial[\text{TA}]}{\partial[\sum \text{NH}_4^+]} \Big|_{h,c} \quad (12)$$

The subscripts indicate which quantities are held constant upon differentiation, and the shorthand notation  $c=[\sum \text{CO}_2]$ ,  $n=[\sum \text{NH}_4^+]$  and  $h=[\text{H}^+]$  has been used. Equation (12)

5 can be readily solved for  $\frac{d[\text{H}^+]}{dt}$ , resulting in

$$\frac{d[\text{H}^+]}{dt} = \left( \frac{d[\text{TA}]}{dt} - \left( \frac{d[\sum \text{CO}_2]}{dt} \frac{\partial[\text{TA}]}{\partial[\sum \text{CO}_2]} \Big|_{h,n} + \frac{d[\sum \text{NH}_4^+]}{dt} \frac{\partial[\text{TA}]}{\partial[\sum \text{NH}_4^+]} \Big|_{h,c} \right) \right) / \frac{\partial[\text{TA}]}{\partial[\text{H}^+]} \Big|_{c,n} \quad (13)$$

Equation (13) can replace the differential equation for [TA] in Table 12. Each of the quantities on the right hand-side of Eq. (13) is explicitly known. The time derivatives of the equilibrium invariants are given by expressions (4)–(6) in Table 12. Furthermore, Appendix D1 shows how the partial derivatives of total alkalinity can be analytically calculated. Table 13 shows the reformulated DE's/MCE's of the DAE system. The AE part is the same as given in Table 12 (except for the equation for [TA] which is obsolete) and is not repeated.

10 The quantity  $\frac{\partial[\text{TA}]}{\partial[\text{H}^+]}$  is a central and important quantity for pH modelling, as it modulates the effect of changes in state variables on  $[\text{H}^+]$ . Soetaert et al. (2007) call a similar quantity the *buffering capacity* of the solution, and Frankignoulle (1994) refers to the inverse of a related quantity as the *chemical buffer factor* of the solution.

20 *Solution method [4]*: The explicit ODE system in Table 13 can be numerically integrated. Subsequently, the AE system is used to analytically calculate the equilibrium concentrations for every timestep of the numerical integration. The resulting approach is referred to as the *Direct Substitution Approach (DSA)*.

25 The DSA is the end result of three sequential reformulations of the pH problem. The DSA has two advantages. The first advantage is that it makes maximal use of the

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion

chemical structure of the pH problem, to gain understanding and insight and to reduce the numerical effort. However, depending on the application, the OSA improved according to Follows et al. (2006) might have about the same computational requirements. The second and major advantage is that Eq. (13) directly quantifies the influence of the various kinetic processes on  $[H^+]$  and hence on pH. To show this, one can rearrange Eq. (13) (or rather the last equation in Table 13) to the form

$$\frac{d[H^+]}{dt} = \alpha_{R_{ox}} R_{ox} + \alpha_{R_{nit}} R_{nit} + \alpha_{E_{CO_2}} E_{CO_2} + \alpha_{E_{NH_3}} E_{NH_3} + \sum T \quad (14)$$

where the  $\alpha$  coefficients and  $\sum T$  can be calculated at each time step using the expressions given in Appendix D2. The  $\alpha$ -coefficients are modulating factors that express the influence on pH for each of the four kinetic reactions/processes. Similarly, the factor  $\sum T$  lumps the influence of advective-dispersive transport processes on pH.

Splitting up the true process specific modulation factor and the buffering capacity of the solution, the influences of kinetic processes (except transport) on the rate of change of the proton concentration can be formalized as:

$$\left. \frac{d[H^+]}{dt} \right|_{R_X} = \alpha_{R_X} R_X = \left( \beta_{R_X} / \frac{\partial[TA]}{\partial[H^+]} \right) R_X \quad (15)$$

where the  $\beta$  coefficients represent the process specific modulation factors, which can also be found in Table D2 in Appendix D2.

The influence of transport on the rate of change of the proton concentration can be written as

$$\left. \frac{d[H^+]}{dt} \right|_T = \left( T_{TA} - T_{\Sigma CO_2} \frac{\partial[TA]}{\partial[\Sigma CO_2]} \Big|_{h,n} - T_{\Sigma NH_4^+} \frac{\partial[TA]}{\partial[\Sigma NH_4^+]} \Big|_{h,c} \right) / \frac{\partial[TA]}{\partial[H^+]} \quad (16)$$

with

$$T_{TA} = T_{HCO_3^-} + 2 T_{CO_3^{2-}} + T_{NH_3} - T_{H^+} \quad (17)$$

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion

$$T_{\Sigma \text{CO}_2} = T_{\text{CO}_2} + T_{\text{HCO}_3^-} + T_{\text{CO}_3^{2-}} \quad (18)$$

$$T_{\Sigma \text{NH}_4^+} = T_{\text{NH}_3} + T_{\text{NH}_4^+} \quad (19)$$

This means that the influence of a modelled kinetic process (except transport) on the  $\frac{d[\text{H}^+]}{dt}$  can be calculated by multiplying the kinetic rate of the process in question by a modulating factor  $\beta$  divided by the buffering capacity of the solution  $\frac{\partial[\text{TA}]}{\partial[\text{H}^+]}$ . The influence of transport on  $\frac{d[\text{H}^+]}{dt}$ , however, is an expression of the transport terms for the equilibrium invariants<sup>1</sup> divided by, again, the buffering capacity of the solution.

### 3 Results

#### 3.1 Baseline simulation

In a first step, we performed a baseline steady state calculation for our model estuary with boundary conditions for the year 2004, which serves as a reference situation for the two perturbations scenarios outlined in the introduction. Table 14 provides an overview of the parameters and boundary conditions that were used in this baseline simulation.

Using the set of parameter values in Table 14, the DSA approach (solution method 4) was implemented within the modeling environment FEMME (Soetaert et al., 2002). The FORTRAN model code can be obtained from the author or downloaded from the FEMME website: <http://www.nioo.knaw.nl/ceme/femme/>.

The upstream concentrations were used as initial conditions, and a time-dependent simulation was performed until steady-state was reached. Table 15 compares the concentrations in the baseline simulation with values averaged over the year 2004.

<sup>1</sup>Note that the transport terms of the equilibrium invariants can be directly calculated from the concentrations of the equilibrium invariants if the transport formulation for all species is distributive over the sum, i.e., there is no differential transport.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion

There is a good agreement between measured and modeled values. Also, the steady state rates for oxic mineralisation ( $R_{ox}=2.8 \mu\text{mol-N kg}^{-1} \text{ d}^{-1}$ ) and nitrification ( $R_{nit}=8.2 \mu\text{mol-N kg}^{-1} \text{ d}^{-1}$ ) are in good agreement with values from table 1. This correspondence between model and measurements was obtained without tuning of model parameters. This provides confidence that the baseline simulation captures the essential features of the carbon and nitrogen dynamics, and thus provides a good starting point for the dynamic perturbation simulations.

Mass balance closure was verified for carbon, nitrogen and oxygen. The  $\text{CO}_2$  export to the atmosphere ( $E_{\text{CO}_2}=-40.8 \mu\text{mol-C kg}^{-1} \text{ d}^{-1}$ ) is larger than the internal  $\text{CO}_2$  release from mineralization ( $\gamma \cdot R_{ox} = 22.7 \mu\text{mol-C kg}^{-1} \text{ d}^{-1}$ ), and this difference is balanced by the advective-dispersive  $\sum \text{CO}_2$  input ( $T_{\sum \text{CO}_2}=18.1 \mu\text{mol-C kg}^{-1} \text{ d}^{-1}$ ; positive  $T_{\sum \text{CO}_2}$  means larger  $\sum \text{CO}_2$  inflow than outflow). Accordingly, the upper Schelde estuary emits carbon dioxide from upstream resources. The water is reaerated with oxygen at a rate of  $E_{\text{O}_2} = 46.8 \mu\text{mol-O}_2 \text{ kg}^{-1} \text{ d}^{-1}$ . Oxygen is mostly consumed in oxic mineralization ( $22.7 \mu\text{mol-C kg}^{-1} \text{ d}^{-1}$ : 49 %) and nitrification ( $16.4 \mu\text{mol-O}_2 \text{ kg}^{-1} \text{ d}^{-1}$ : 35 %). The budget for oxygen is again closed by advective-dispersive transport, which exports  $\text{O}_2$  downstream at a rate of  $T_{\text{O}_2}=7.7 \mu\text{mol-O}_2 \text{ kg}^{-1} \text{ d}^{-1}$  (16%).

As noted above, one of the major advantages of the DSA approach is that one can partition  $\frac{d[\text{H}^+]}{dt}$  (= total change in proton concentration) into contributions by different kinetic processes (Eq. 14). At steady state, overall consumption of protons should match overall production, a condition referred to as a dynamic pH equilibrium. Figure 2 shows that in our baseline simulation, the dynamic pH equilibrium is dominated by the interplay between oxic mineralisation, nitrification and  $\text{CO}_2$  air-water exchange. Oxic mineralisation and nitrification respectively produce about 49% and 40% of the protons consumed by  $\text{CO}_2$  outgassing. The remaining 11% are the result of advective-dispersive transport ( $\sum T$ ). The  $\text{NH}_3$  exchange with the atmosphere plays a negligible role, as it produces only 0.3% of the protons consumed by  $\text{CO}_2$  air-water exchange.

## pH model construction in aquatic systems

A. F. Hofmann et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

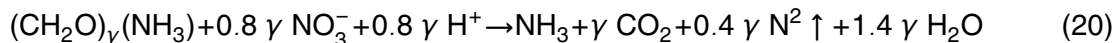
Printer-friendly Version

Interactive Discussion

## 3.2 The influence of water auto-dissociation and denitrification

In the formulation of the model, we deliberately neglected the auto-dissociation of water ( $R_{H_2O}$ ) and denitrification ( $R_{den}$ ) to keep the model analysis as simple as possible. A model including  $H_2O$  auto-dissociation does not show any differences in steady state results (Table 15, Fig. 2). Accordingly,  $R_{H_2O}$  can be safely omitted.

To check the importance of denitrification, we included the reaction



with the kinetic formulation

$$R_{den} = r_{den} \cdot [OM] \cdot (k s_{O_2}^{inhib}) / ([O_2] + k s_{O_2}^{inhib}) \cdot ([NO_3^-]) / ([NO_3^-] + k s_{NO_3^-}) \quad (21)$$

with rate constant  $r_{den}=0.2 d^{-1}$  (Soetaert and Herman, 1995b), an inhibition constant  $k s_{O_2}^{inhib}=45 \mu mol kg^{-1}$  (Soetaert and Herman, 1995b), and a saturation constant  $k s_{NO_3^-}=22 \mu mol kg^{-1}$  (Regnier et al., 1997). The inclusion of denitrification results in marginal differences in concentrations (Table 15) and does not affect the dynamic pH equilibrium (Fig. 2).

## 3.3 Three perturbation scenarios

In the perturbation scenarios, the baseline steady state values were imposed as initial conditions.

*Scenario A: Decrease in the upstream organic matter loading:*

It is estimated that the organic matter loading in the river Schelde will be halved by a new sewage-treatment plant for the city of Brussels, which became operational in 2007. To simulate the impact of this change, we started off from the baseline simulation (values for the year 2004), and decreased the upstream organic matter concentration

**BGD**

4, 3723–3798, 2007

**pH model  
construction in  
aquatic systems**

A. F. Hofmann et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion

**EGU**



[OM]<sub>up</sub> from 50  $\mu\text{mol N kg}^{-1}$  to 25  $\mu\text{mol N kg}^{-1}$  on the fifth day of a 40-day model run. Figure 3 shows the evolution of pH, [TA], [ $\Sigma\text{CO}_2$ ] and [ $\text{O}_2$ ] for this scenario. After about 35 days a new steady state is reached, in line with the 10 day response time-scale of the dominant transport and reaction processes (Table 5). The decrease in OM loading reduces the steady state concentration of organic matter [OM] by 38% (not shown), while oxygen levels increase by 10% and [ $\Sigma\text{CO}_2$ ] levels remain virtually unchanged (slight decrease by 0.3%). Note that the changes occur monotonically. This is different for the total alkalinity, which shows a slight “overshoot” response. TA decreases from 5928.9  $\mu\text{mol kg}^{-1}$  to a minimum value of 5927.9  $\mu\text{mol kg}^{-1}$  after 6 days, but then stabilizes at a higher level of 5928.1  $\mu\text{mol kg}^{-1}$ . This dip in [TA] is explained by a different temporal response of the mineralization, nitrification and transport terms (Fig. 4a). The change in the upstream OM concentration leads to a sharp decline in [OM] in the system, causing  $\text{R}_{\text{ox}}$  (which produces alkalinity) to drop sharply as well. The nitrification rate  $\text{R}_{\text{nit}}$  (which consumes alkalinity) however drops less sharply. As a result, temporarily “too much” alkalinity TA is consumed, which results in the observed dip in the [TA] evolution.

Also note that the decrease in [TA] (0.8  $\mu\text{mol kg}^{-1}$ ) is much smaller than the corresponding decrease in the DIC (16  $\mu\text{mol kg}^{-1}$ ). This difference is due to the rising pH and the associated re-equilibration within the carbonate system. Although [ $\Sigma\text{CO}_2$ ] decreases, the  $\text{CO}_2$  system dissociates more, due to the pH increase, increasing [ $\text{CO}_3^{2-}$ ]. Hence, alkalinity does not follow the decrease in [ $\Sigma\text{CO}_2$ ] to similar extent (see Table 16).

The new steady state pH of 7.734 is only 0.4 % higher than the baseline pH of 7.705. Figure 5 shows that the abrupt decrease in organic matter loading has only a small influence on the dynamic pH equilibrium. The individual contributions of all processes decline, except for the small contribution of advective-diffusive transport. That means that for the pH of a system with less organic matter input, the relative importance of physical processes rises against the importance of biological processes.

**pH model  
construction in  
aquatic systems**

A. F. Hofmann et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion

Due to the presence of the harbour of Antwerp and the surrounding chemical industry, there is potential danger of ship accidents and spills of chemicals into the Schelde estuary. As an example, we consider a spill of ten thousand tons of ammonium-nitrate fertilizer ( $\text{NH}_4^+ \text{NO}_3^-$ ). Furthermore, we consider a slowly leaking ship, where the chemicals are released within a period of 10 days (between day 5 and 15 of the simulation). To model this release, we need to include an extra source term for ammonium and nitrate in the MCE's (cf. Table 4).

$$A_{\text{NH}_4^+} = A_{\text{NO}_3^-} = 115 \mu\text{mol kg}^{-1} \text{ d}^{-1} \quad (22)$$

In a similar manner as the other kinetic processes, one can derive the influence of  $A_X$  on the proton change  $[\text{H}^+]$ . Where the addition of nitrate has no effect on the pH, the contribution of  $A_{\text{NH}_4^+}$  to  $\frac{d[\text{H}^+]}{dt}$  will finally result in

$$- \left( \frac{\partial[\text{TA}]}{\partial[\sum \text{NH}_4^+]} / \frac{\partial[\text{TA}]}{\partial[\text{H}^+]} \right) \cdot A_{\text{NH}_4^+} := \alpha_5 \cdot A_{\text{NH}_4^+} \quad (23)$$

The second row in Fig. 3 shows the profiles for pH, [TA],  $[\sum \text{CO}_2]$  and  $[\text{O}_2]$  for this scenario. Drastic perturbations in the geochemistry of the estuary are simulated during the 10 days of leakage, and during a small period of about 15 days afterwards. The leakage results in a distinct peak in  $[\sum \text{NH}_4^+]$  (not shown), with values rising by roughly 620% from  $36 \mu\text{mol kg}^{-1}$  to  $260 \mu\text{mol kg}^{-1}$ . This is accompanied by a peak in  $[\text{NO}_3^-]$ , rising by 130% from  $340 \mu\text{mol kg}^{-1}$  to  $778 \mu\text{mol kg}^{-1}$ , which is due to both the leakage  $A_{\text{NO}_3^-}$  and increased nitrification. Total alkalinity and  $[\sum \text{CO}_2]$  temporarily drop by 4% and 1% respectively. Oxygen conditions drastically drop from  $158 \mu\text{mol kg}^{-1}$  to hypoxic conditions at  $43 \mu\text{mol kg}^{-1}$ , due to a short period of intense nitrification.

pH levels drop by approximately two tenths of a unit from 7.71 to 7.49. Figure 5 shows that this is mainly due to an increase of nitrification, and that the contribution

of  $\mathbf{A}_{\text{NH}_4^+}$  itself is negligible. After 10 days of leakage, dynamic pH equilibrium is almost re-installed, and the proton production of nitrification is compensated by the proton release due to outgassing of  $\text{CO}_2$  and from transport. The influence of oxic mineralisation on  $\frac{d[\text{H}^+]}{dt}$  does not significantly change during the spill, compared to the dominant components. After 10 days, the end of the leakage imposes a new perturbation on the system.

### Scenario C: Spill of ammonia

In this scenario, we investigate a similar ship accident, but now with a spill of ten thousand tons of ammonia ( $\text{NH}_3$ ). The leakage period is identical to the previous case, and the input term for ten thousand tons of ammonia within 10 days becomes

$$\mathbf{A}_{\text{NH}_3} = 541 \mu\text{mol kg}^{-1} \text{ d}^{-1} \quad (24)$$

The contribution of  $\mathbf{A}_{\text{NH}_3}$  to  $\frac{d[\text{H}^+]}{dt}$  is

$$\left( \left( 1 - \frac{\partial[\text{TA}]}{\partial[\sum \text{NH}_4^+]} \right) / \frac{\partial[\text{TA}]}{\partial[\text{H}^+]} \right) \cdot \mathbf{A}_{\text{NH}_3} := \alpha_6 \cdot \mathbf{A}_{\text{NH}_3} \quad (25)$$

Figure 3 shows the profiles for pH, [TA],  $[\sum \text{CO}_2]$  and  $[\text{O}_2]$  for this scenario. Again a distinct peak in  $[\sum \text{NH}_4^+]$  is observed (not shown), with the baseline concentration rising by a factor of 37. This is again accompanied by a 50% increase in  $[\text{NO}_3^-]$ , which is now solely the result of increased nitrification. Total alkalinity and  $[\sum \text{CO}_2]$  temporarily rise by 20% and 1% respectively. Oxygen concentrations are again greatly reduced (by roughly 97%), now almost reaching full anoxia with a minimum of  $5 \mu\text{mol kg}^{-1}$ . The oxic mineralisation rate is much lower than in the baseline-simulation due to low oxygen concentrations.

The pH level increases by more than one pH unit from 7.71 to 8.78. Figure 5 shows that this is mainly due to the input of  $\text{NH}_3$  into the estuary by the leak. Nitrification

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion

initially counters the proton consumption of  $\text{NH}_3$ , but this effect decreases drastically due to decreasing oxygen levels (cf. the initial steep spike in  $R_{\text{nit}}$  shown in the right panel of Fig. 4). The effect of outgassing of ammonia  $E_{\text{NH}_3}$  on  $\frac{d[\text{H}^+]}{dt}$  only becomes important towards the end of the 10 day spill period, when almost steady state conditions are reached. At this point,  $\text{NH}_3$  outgassing balances, together with nitrification and advective-dispersive transport, the proton consumption of  $A_{\text{NH}_3}$ . When the leakage is stopped, the system returns to the pre-leakage state within a matter of 15 days. There is however a dip in pH and alkalinity before baseline values are attained again. Immediately after the leakage stops, there is still a lot of  $\sum \text{NH}_4^+$  in the system, which is further nitrified. The effects of  $\text{CO}_2$  outgassing and advective-dispersive transport (which changes sign again) compensate for the proton production associated with nitrification. However, this compensation occurs with a certain time lag, creating the dip in pH after the initial spike.

The net absolute values of proton consumption or production of all processes decreases during the 10 day spill period due to an increase in the absolute value of the buffering capacity  $\frac{\partial[\text{TA}]}{\partial[\text{H}^+]}$ , which changes from  $-0.165 \cdot 10^5$  to  $-5.15 \cdot 10^5$  (Fig. 4). As the absolute value of  $\frac{\partial[\text{TA}]}{\partial[\text{H}^+]}$  increases with increasing pH within the modeled pH range, in our model, a higher pH means smaller absolute values of influences of processes on  $\frac{d[\text{H}^+]}{dt}$ .

## 4 Discussion

### 4.1 A consistent framework for pH model generation

The overall result of our work is a general recipe for pH model formulation, consisting of 10 separate steps (Table 17), which we clarified by means of an example. We have identified four main solution techniques (FKA, FNA, OSA, DSA), which all enable the solution of the non-steady-state pH problem. These four solution techniques

**BGD**

4, 3723–3798, 2007

## pH model construction in aquatic systems

A. F. Hofmann et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion

**EGU**

are connected by three consecutive mathematical transformations of the pH problem. Although it requires an initial investment, such a reformulation effort has multiple advantages, both practically, in terms of more efficient simulations, as well as theoretically, in terms of improved physical and chemical insight into the problem.

As shown in Table 18 and in Fig. 6, there is a clear trade-off between reformulation effort and the numerical resources required. The more the pH problem is initially reformulated, the less computation time is spent on actual pH simulations afterwards. The reformulations transform the pH problem into a more elegant mathematical form, and only require a one-time investment during the model generation process. Accordingly, when doing multiple simulations as in a sensitivity analysis, the initial time investment in reformulation is likely to pay off very rapidly. Although, in terms of computational performance, the improved OSA and the DSA are comparable, the DSA additionally allows for the assessment of the influences of kinetically modelled processes on the pH, including subsequent re-equilibration of the system.

## 4.2 Comparison with previous approaches

The sequence of reformulations provides a unifying framework that shows how existing approaches are interrelated. Past pH modeling approaches can be equated to one of the four solution methods in Fig. 6. The most basal approach, the Full Kinetic Approach (FKA) has only been implemented sporadically (Steefel and MacQuarrie, 1996; Zeebe, 2007), because of the strong numerical demands associated with it, and the need to obtain more parameters which might not be very well constrained (forward and backward rates of acid-base reactions). However, the local equilibrium assumption can be included into the FKA by estimating very high forward and backward rate constants  $k_f$  and  $k_b$  such that their ratio equals the equilibrium constant  $K^*$  of the reaction in question ( $\kappa^* = \frac{k_f}{k_b}$ ), which makes the FKA applicable to certain modelling scenarios (Steefel and MacQuarrie, 1996), but still results in a very stiff equation system. After one reformulation step termed the canonical transformation (Meysman, 2001; Chilakapati et al., 1998; Saaltink et al., 1998; Lichtner, 1996; Steefel and MacQuarrie, 1996) based on

## pH model construction in aquatic systems

A. F. Hofmann et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion

an idea first put forward by [Aris and Mah \(1963\)](#), one can implement the Full Numerical Approach (FNA), which involves a direct numerical solution of the resulting differential algebraic equation system. [Steeffel and MacQuarrie \(1996\)](#) list a number of packages, including DASSL ([Petzold, 1982](#)), capable of solving a system according to the FNA.

5 [Gehlen et al. \(1999\)](#) applied this solution technique in a relatively simple pH problem (4 acid-base reactions) to study the distribution of stable carbon isotopes in pore water of deep sea sediments. We are not aware of FNA applications with realistic “field-type” reaction sets (including 10 or more dissociation reactions). In these situations FNA simulations are expected to require significant computational resources.

10 Such difficulties can be avoided by means of a second reformulation, via the introduction of equilibrium invariants. This reformulation allows uncoupling the differential and algebraic part of the DAE system and solving them independently. The resulting approach is termed operator splitting (OSA, steps 8 and 9). [Regnier et al. \(1997\)](#) used the OSA to model pH along an estuarine gradient, [Marinelli and Boudreau \(1996\)](#)

15 used it to study the pH in irrigated anoxic coastal sediments, and [Follows et al. \(1996\)](#) used the OSA to investigate the carbonate system in the water column of the North Atlantic. Besides pointing out different varieties of the FNA, [Chilakapati et al. \(1998\)](#) also dwelled on the OSA by applying it to simple groundwater problems. While not explicitly reformulating the system, [Boudreau \(1987\)](#), [Boudreau and Canfield \(1988\)](#),

20 [Boudreau \(1991\)](#), [Boudreau and Canfield \(1993\)](#), and [Boudreau \(1996a\)](#) (the CANDI model) used the notion of dividing the reaction set into kinetic reactions and equilibrium reactions. Imposed equilibrium invariants were then used to simulate steady state profiles of aquatic sediments. Therefore, these approaches can be viewed as predecessors of the OSA. Although equilibrium invariants were not explicitly defined, [Wang](#)

25 [and Van Cappellen \(1996\)](#) (the STEADYSED1 model) uncoupled the DE and the AE part of the DAE system and solved them separately, making their approach a quasi OSA. In a detailed methodological study on pH modeling, [Luff et al. \(2001\)](#) examined three different OSA approaches: the *alkalinity conservation approach*, *advancement approach* and the *charge balance approach*. As noted above, there are two major

**pH model  
construction in  
aquatic systems**

A. F. Hofmann et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion

disadvantages associated with the classical OSA approach (1) the equilibration step requires a numerical solution, which makes the OSA computationally intense and (2) the OSA does not allow quantifying the influence of different processes on  $\frac{d[H^+]}{dt}$ . The numerical solution step can be eliminated using the improved OSA put forward by Follows et al. (2006), but it still lacks the possibility of assessing influences of kinetically modelled processes on the pH.

The two problems of the OSA vanish after a third reformulation, which leads to the Direct Substitution Approach (DSA). Therefore, we consider the DSA approach to be the most elegant and promising pH modeling procedure, especially if knowledge of the influences of modelled processes on the pH is desired. If this knowledge is not desired, the improved OSA according to Follows et al. (2006) might be the method of choice, since the third reformulation of the system (step 10) is not necessary. In the DSA, the differential equation for total alkalinity is replaced by a differential equation for the proton concentration, which enables a direct analytical solution of the equilibration step. The most important advantage is that the proton change can be partitioned into contributions by different processes, and hence, the influence of processes on pH can be directly assessed (as discussed further below).

Although applying the DSA, Meysman et al. (2003) (the MEDIA modelling environment) did not make use of its capability of assessing influences of processes on the pH. In recent years two other studies have employed DSA-like approaches to assess influences of processes on the pH, yet the way these methods were derived and presented are not fully clear and internally consistent.

The approach of Jourabchi et al. (2005) is situated somewhere between the DSA and the FNA. As a by-product in calculating stoichiometric coefficients for equilibrium species, Jourabchi et al. (2005) calculated a rate of change of protons over time for a given modeled process, starting from the total derivative of total alkalinity. However, these rates do not add up to a total rate of change since the effect of transport is not made explicit. Direct proton transport is even omitted as they remove the mass conservation equation for protons to cope with an overdetermined equation system. Their

## pH model construction in aquatic systems

A. F. Hofmann et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion

equation system was subsequently solved with a numerical solver that depended on steady state conditions of the system. This means dynamic pH simulations are not possible. Total quantities like total alkalinity were imposed and not consistently derived. Subsequently, [TA] was used in a way that in some points contradicted Dickson's (Dickson, 1981) notion of [TA].

Soetaert et al. (2007) also made a step towards a DSA, but fell short of deriving a total rate of change of protons. They needed to invoke several assumptions and concepts like the mean and total charge of postulated total quantities to derive formulae for the influence of modeled processes on the pH. These formulae did not add up to a total rate of change of protons over time, because no transport terms were included. This means that modeling the pH of a real system containing several processes at the same time was not possible.

The DSA approach thus comes out as the most powerful procedure to tackle pH models. However, in a system where the dissociation constants ( $K^*$ s) cannot be assumed constant, the DSA presented here cannot be applied, though all other approaches including the OSA still can be applied. The problem of variable dissociation constants has been deliberately omitted from this paper for didactical reasons. A realistic model application of an extended DSA, where terms that account for the influence of variable  $K^*$  values are added to the rate of change of protons  $\frac{d[H^+]}{dt}$  derived in this paper, will be published later.

### 4.3 Implicit assumptions

The subsequent reformulations of the system (Fig. 6) yield more insight into the physical, chemical, and mathematical structure of the pH problem. By delineating all steps of the model generation process explicitly, one achieves a high level of model transparency. Typically, past treatments do not explicitly list all assumptions and decisions made during the model generation process. This practice has led to the introduction of unnecessary assumptions and constraints, as well as inconsistently employed concepts.

**BGD**

4, 3723–3798, 2007

## pH model construction in aquatic systems

A. F. Hofmann et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion

**EGU**



A first difference between our approach and past treatments is that we do not need an a priori definition of alkalinity. In other words, in our treatment, the way alkalinity is defined in terms of the other chemical species follows directly from the model reformulation. As shown above, alkalinity is one of the equilibrium invariants (like total inorganic carbon and total ammonium). These equilibrium invariants emerge after the canonical transformation of the initial pH problem and are equivalent to the mole balance equations of Morel's *components* (Morel and Hering, 1993) of the system. Equilibrium invariants, and hence alkalinity, are quantities that are conservative with respect to equilibrium reactions. The exact form of alkalinity depends on the chosen set of equilibrium reactions, and hence, it is dependent on the chosen pH range of the model and the chosen time scale of the model. This practise ensures (1) consistency between the definition of [TA] and the model, and (2) correct stoichiometric coefficients for [TA] for all modelled kinetic processes (cf. Eq. 6 in Table 12).

A second difference is that we do not need the assumption of electroneutrality. Approaches like e.g. Luff's (Luff et al., 2001) charge balance approach, or the CANDI model (Boudreau, 1996a) implicitly assume electroneutrality of the solution. They use a balance of total charge (including conservative ions like  $\text{Na}^+$ ), which is assumed to be zero, to mathematically close their equation systems<sup>2</sup>. Although sometimes wrongly termed so (e.g. Boudreau, 1991; Follows et al., 1996, 2006), total alkalinity is not a charge balance, but a proton balance. It expresses the excess number of moles of proton equivalents (protons and proton donors) to proton acceptors (Dickson, 1981; DOE, 1994; Zeebe and Wolf-Gladrow, 2001; Wolf-Gladrow et al., 2007). This means that if  $\text{NO}_3^-$  is assumed not to react with  $\text{H}^+$  in the pH range modelled, the concentration of nitrate does not have any influence on total alkalinity, although it is an integral

<sup>2</sup>Similar to our approach, the approach put forward by Soetaert et al. (2006) does not depend on the electroneutrality of the solution, although the names of the quantities they use suggest so and although they sometimes require electroneutrality of both sides of a reaction equation, which is not the same as electroneutrality of the solution and which should be better termed "reactional charge conservation".

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion

part of the total charge balance of the solution. This means that by consistently using total alkalinity instead of a charge balance, concentrations of conservative ions can be omitted from the pH calculation.

Furthermore, as mentioned above, our approach directly provides the stoichiometric coefficients for total alkalinity for all kinetic processes. Although these coefficients are not obvious from the definition of [TA], as all component concentrations are influenced by equilibrium reactions, our model generation procedure unambiguously provides them. To this end, a reformulation of the expression of [TA] into the explicit conservative form  $[TA]_{ec}$ , which requires electroneutrality of the solution, as put forward by **Wolf-Gladrow et al. (2007)**, is not needed.

#### 4.4 Assessing the influence of processes on pH

In theory, there are two approaches which allow for the quantification of influences of processes on  $\frac{d[H^+]}{dt}$ , the FKA and the DSA.

The FKA also calculates a  $\frac{d[H^+]}{dt}$  which can be partitioned into contributions by different processes providing a quantification of their net influences on the pH. All processes, also acid-base dissociation reactions, are quantified separately<sup>3</sup>. However, it is almost impossible to use the FKA for longer timescales and real systems because of its stiffness and the need for badly constrained forward and backward reaction rates for acid-base systems. Therefore, any practical model approach depends on the reduction of the stiffness of the system by the implicit inclusion of the local equilibrium assumption. In these methods, the influences of kinetically modelled processes are instantaneously buffered by the acid-base reactions in local equilibrium. The measurable – and therefore interesting – effect of a process on pH is thus always its net effect buffered by the considered set of equilibrium reactions (effect + re-equilibration of the

<sup>3</sup>This means that the way of writing stoichiometric equations is important. If oxid mineralisation is written as producing CO<sub>2</sub> instead of carbonate or bicarbonate, it has no effect on pH, however, the dissociation of CO<sub>2</sub> has – which might not be a useful representation of reality.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion

system).

Exactly there lies the most important advantage of the DSA method as it explicitly reveals how different processes (like various kinetic biogeochemical reactions, but also transport) influence the pH against the background of buffering by an equilibrium reaction system.

In the DSA, this is done by expressing the proton change  $\frac{d[H^+]}{dt}$  as an explicit function of all kinetic rates. Unlike Soetaert et al. (2007) and Jourabchi et al. (2005), we do this for all kinetic processes, including transport. This enables a deeper understanding of how dynamic pH equilibrium is attained, and what processes exactly are responsible for a pH change upon disturbance of the system. This is clearly illustrated in our disturbance scenarios for a simple estuarine system.

Furthermore, the buffering capacity of the solution  $\frac{\partial[TA]}{\partial[H^+]}$  is identified as an important and central quantity, as it modulates the influence of all processes on the pH. A process with the same rate, can have a different influence on the pH depending on the state of the system, as represented by  $\frac{\partial[TA]}{\partial[H^+]}$ . Our disturbance scenario C shows that it is possible that in certain circumstances, although process rates increase, the absolute values of influences of processes on  $\frac{d[H^+]}{dt}$  can decrease, since the absolute value of  $\frac{\partial[TA]}{\partial[H^+]}$  increases due to an increased pH. Figuratively this can be explained by the fact that a higher pH means less free protons in solution. Therefore, the amount of protons affected by a certain process is decreased.  $\frac{\partial[TA]}{\partial[H^+]}$  is a measure for this condition.

## 5 Conclusions

In the present paper, we systematically and consistently derived a succession of methods to model pH, making every step of the model generation process explicit. The chemical structure of the model was used for successive reformulations until fast and elegant numerical solutions were possible. Existing pH modelling approaches were identified within this framework and advantages and drawbacks were pointed out. Def-

**BGD**

4, 3723–3798, 2007

### pH model construction in aquatic systems

A. F. Hofmann et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion

**EGU**

initions for summed quantities and the influence of all modelled processes on them where derived from the model. With the DSA the influence of modelled processes on the pH can be quantified.

## Appendix A

### Criterion for exclusion of acid-base reactions

For a criterion when to exclude an acid-base reaction from a model with a designated pH range, we consider three cases: 1) if the  $pK_{HA}^*$  value of the reaction in question is within the designated pH range of the model, the reaction will always be considered in the model. If 2) the  $pK_{HA}^*$  value is smaller than the lower boundary of the pH range, or 3) the  $pK_{HA}^*$  value is bigger than the upper boundary of the pH range, the formal selection procedure described in the following is applied.

In the second case, the  $pK_{HA}^*$  value of the reaction in question is smaller than the lower boundary of the model's pH range. Excluding the reaction in this case, means assuming the acid HA to be fully dissociated to  $A^-$  and  $H^+$ . However, the concentration [HA] at the lower boundary of the pH range is a nonzero value which represents the amount of protons bound in HA which are neglected in the calculations if the reaction in question is omitted (see Fig. A1a). [HA] at the lower pH boundary is therefore the maximal error in terms of direct proton concentration offset which can be made by neglecting the reaction in question. Of course, this direct proton concentration offset has to be related to the buffer capacity of the system, to judge its influence on the pH. The buffer capacity of a marine or estuarine system is mostly expressed as total alkalinity [TA].

Consider our second case, the case of a reaction with a  $pK_{HA}^*$  smaller than the lower boudary of the pH range of the system. If we want the error in direct proton concentration offset to be below a certain percentage  $\nu$  of the average [TA] of the system in question, the following inequality has to hold at the lower boundary of the pH

BGD

4, 3723–3798, 2007

### pH model construction in aquatic systems

A. F. Hofmann et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion

EGU

range:

$$[\text{HA}] \leq \frac{\nu}{100} \cdot [\text{TA}]$$

If we consider the acid base reaction to be in equilibrium, we can apply the mass-action law and get:

$$5 \quad K_{\text{HA}}^* = \frac{[\text{H}^+][\text{A}^-]}{[\text{HA}]}$$

with  $[\text{H}^+]$  being the proton concentration at the lower boundary of the pH range. Since we are in the second case, where  $\text{p}K_{\text{HA}}^*$  is smaller than the lower boundary of the pH range and the acid is assumed to be (almost) fully dissociated, we assume<sup>4</sup>

$$[\text{A}^-] \approx [\sum \text{A}]$$

10 with  $[\sum \text{A}] = [\text{HA}] + [\text{A}^-]$ . This allows writing

$$[\text{HA}] \approx \frac{[\text{H}^+][\sum \text{A}]}{K_{\text{HA}}^*} \leq \frac{\nu}{100} \cdot [\text{TA}]$$

Considering only the right-hand-side inequality and rearranging results in

$$\frac{[\text{H}^+]}{K_{\text{HA}}^*} \cdot \frac{[\sum \text{A}]}{[\text{TA}]} \cdot 100 \leq \nu \quad (\text{A1})$$

15 A similar line of reasoning can be done for the third case, where the  $\text{p}K_{\text{HA}}^*$  value of the reaction is bigger than the upper boundary of the pH range of the model (see

<sup>4</sup>Traditionally, this assumption is only made if the  $\text{p}K_{\text{HA}}^*$  value of a reaction is “much” smaller than the pH of the system. However, since  $[\text{A}^-]$  is always smaller than  $[\sum \text{A}]$  and therefore  $\frac{[\text{H}^+][\text{A}^-]}{[\text{HA}]}$  is always smaller than  $\frac{[\text{H}^+][\sum \text{A}]}{K_{\text{HA}}^*}$ , such that the real quantity is always smaller than the estimated quantity that should stay *below* a certain threshold, this assumption is legitimate, even if  $\text{p}K_{\text{HA}}^*$  is just smaller than pH, and not “much” smaller.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion

Fig. A1b). In this case  $[A^-]$  has to be smaller than  $\frac{\nu}{100} \cdot [TA]$  and  $[H^+]$  signifies the pH at the upper boundary of the pH range. This case gives rise to an inequality almost the same as Eq. (A1), only with the first factor inverted.

Therefore, with defining a variable  $\epsilon$  for every acid-base system, with a value of 1 if the  $pK_{HA}^*$  of the system is lower than the designated pH range and with a value of  $-1$  if it is higher, allows writing the inequality

$$\left( \frac{K_{HA}^*}{[H^+]} \right)^{\epsilon} \cdot \frac{[\sum A]}{[TA]} \cdot 100 \stackrel{!}{\leq} \nu \quad (A2)$$

which holds for both cases,  $[H^+]$  signifying the proton concentration at the lower or upper boundary of the pH range respectively. Note that all quantities in Eq. (A2) have to be in consistent units, e.g., all in  $\text{mol kg}^{-1}$ .

For notational convenience, we define

$$\epsilon := \left( \frac{K_{HA}^*}{[H^+]} \right)^{\epsilon} \cdot \frac{[\sum A]}{[TA]} \cdot 100$$

which can be calculated for each acid-base reaction given its  $pK_{HA}^*$  value, the pH range of the model and the average  $[TA]$  of the system.  $\epsilon$  represents the amount of protons ignored by neglecting the reaction in question, in percent of the average  $[TA]$  of the modeled system. Reactions with an  $\epsilon$  value below the desired error threshold percentage  $\nu$  can be neglected.

$\epsilon$  values for our reactions, model pH range and  $[TA]$  are given in Table 2.

## Appendix B

### Canonical transformation

Having partitioned the processes into  $n_{kp}$  kinetic and  $n_{ep}$  equilibrium processes, the mass-balance equation, according to Eq. (1), can be written in matrix notation for all  $n_{es}$  equilibrium species together as given in Eq. (B1), with  $I$  being the  $n_{es} \times n_{es}$  identity matrix,  $\frac{d[C]}{dt}$  being a symbolic vector with time derivatives of all  $n_{es}$  species,  $\mathbf{v}_{eq}$  being the  $n_{ep} \times n_{es}$  stoichiometric matrix for the equilibrium reactions,  $\mathbf{v}_{kin}$  being the  $n_{kp} \times n_{es}$  stoichiometric matrix for the influence of the kinetic reactions on the equilibrium species,  $\mathbf{R}_{kin}$  being the symbolic vector of the kinetic reactions, and  $\mathbf{R}_{eq}$  being the symbolic vector of the equilibrium reactions.

$$I \times \frac{d[C]}{dt} = \mathbf{v}_{kin} \times \mathbf{R}_{kin} + \mathbf{v}_{eq} \times \mathbf{R}_{eq} \quad (B1)$$

In order to eliminate the equilibrium reaction rates, the system can be transformed using methods of linear algebra. We do this based on procedures described in Steefel and MacQuarrie (1996), Lichtner (1996), Saaltink et al. (1998), Chilakapati et al. (1998) and Meysman (2001).

In case of the matrix notation, as given in Eq. (B1), a transformation matrix  $\mathbf{P}$  has to be found, such that the system given in Eq. (B2) contains each element of  $\mathbf{R}_{eq}$  in only one equation. The equations containing elements of  $\mathbf{R}_{eq}$  then can be removed from the system, decreasing both the number of unknowns and the number of equations exactly by one per equation removed. After the reduced system has been solved, the removed equations can be used to calculate the “unknown” equilibrium reaction rates  $\mathbf{R}_{eq}$ .

$$\mathbf{P} \times \frac{d[C]}{dt} = \mathbf{P} \times \mathbf{v}_{kin} \times \mathbf{R}_{kin} + \mathbf{P} \times \mathbf{v}_{eq} \times \mathbf{R}_{eq} \quad (B2)$$

Finding  $\mathbf{P}$  can be achieved by performing a Gauss-Jordan elimination (Bronstein et al., 1999) on the matrix  $\mathbf{v}_{eq}$ . The result of this operation is the *reduced row-echelon* form

BGD

4, 3723–3798, 2007

pH model  
construction in  
aquatic systems

A. F. Hofmann et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion

EGU

of  $\mathbf{v}_{eq}$ , which is also known as the *row canonical form*, hence the name *canonical* transformation of the system. Equation (B3) gives  $\mathbf{P}$ ,  $\mathbf{v}_{eq}$  and the reduced row-echelon form of  $\mathbf{v}_{eq}$  of our system.

$$\mathbf{P} \times \mathbf{v}_{eq} = \begin{pmatrix} 0 & 1 & 1 & 0 & 0 & 0 \\ 0 & 0 & 1 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 1 & 0 \\ 1 & 1 & 1 & 0 & 0 & 0 \\ 0 & 0 & 0 & 1 & 1 & 0 \\ 0 & 1 & 2 & 0 & 1 & -1 \end{pmatrix} \times \begin{pmatrix} -1 & 0 & 0 \\ 1 & -1 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & -1 \\ 0 & 0 & 1 \\ 1 & 1 & 1 \end{pmatrix} = \begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix} \quad (\text{B3})$$

5 Expanding Eq. (B2) and plugging in  $P$  reads:

$$\begin{pmatrix} 0 & 1 & 1 & 0 & 0 & 0 \\ 0 & 0 & 1 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 1 & 0 \\ 1 & 1 & 1 & 0 & 0 & 0 \\ 0 & 0 & 0 & 1 & 1 & 0 \\ 0 & 1 & 2 & 0 & 1 & -1 \end{pmatrix} \times \begin{pmatrix} \frac{d[\text{CO}_2]}{dt} \\ \frac{d[\text{HCO}_3^-]}{dt} \\ \frac{d[\text{CO}_3^{2-}]}{dt} \\ \frac{d[\text{NH}_4^+]}{dt} \\ \frac{d[\text{NH}_3]}{dt} \\ \frac{d[\text{H}^+]}{dt} \end{pmatrix} = \quad (\text{B4})$$



$$\begin{pmatrix} 0 & 1 & 1 & 0 & 0 & 0 \\ 0 & 0 & 1 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 1 & 0 \\ 1 & 1 & 1 & 0 & 0 & 0 \\ 0 & 0 & 0 & 1 & 1 & 0 \\ 0 & 1 & 2 & 0 & 1 & -1 \end{pmatrix} \times \begin{pmatrix} 1 & 0 & 0 & 0 & 0 & 0 & \gamma & 0 & 1 & 0 \\ 0 & 1 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 1 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 1 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 1 & 0 & 1 & -1 & 0 & 1 \\ 0 & 0 & 0 & 0 & 0 & 1 & 0 & 1 & 0 & 0 \end{pmatrix} \times \begin{pmatrix} T_{\text{CO}_2} \\ T_{\text{HCO}_3^-} \\ T_{\text{CO}_3^{2-}} \\ T_{\text{NH}_4^+} \\ T_{\text{NH}_3} \\ T_{\text{H}^+} \\ R_{\text{ox}} \\ R_{\text{nit}} \\ E_{\text{CO}_2} \\ E_{\text{NH}_3} \end{pmatrix} + \begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix} \times \begin{pmatrix} R_{\text{CO}_2}^{\text{dis}} \\ R_{\text{HCO}_3^-}^{\text{dis}} \\ R_{\text{NH}_4^+}^{\text{dis}} \end{pmatrix}$$

$$= \begin{pmatrix} 0 & 1 & 1 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 1 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 1 & 0 & 1 & -1 & 0 & 1 \\ 1 & 1 & 1 & 0 & 0 & 0 & \gamma & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 & 1 & 0 & 1 & -1 & 0 & 1 \\ 0 & 1 & 2 & 0 & 1 & -1 & 1 & -2 & 0 & 1 \end{pmatrix} \times \begin{pmatrix} T_{\text{CO}_2} \\ T_{\text{HCO}_3^-} \\ T_{\text{CO}_3^{2-}} \\ T_{\text{NH}_4^+} \\ T_{\text{NH}_3} \\ T_{\text{H}^+} \\ R_{\text{ox}} \\ R_{\text{nit}} \\ E_{\text{CO}_2} \\ E_{\text{NH}_3} \end{pmatrix} + \begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix} \times \begin{pmatrix} R_{\text{CO}_2}^{\text{dis}} \\ R_{\text{HCO}_3^-}^{\text{dis}} \\ R_{\text{NH}_4^+}^{\text{dis}} \end{pmatrix}$$

Expanding further and solving the first three equations for the equilibrium reaction  
 5 rates results in the equation system:

**BGD**

4, 3723–3798, 2007

## pH model construction in aquatic systems

A. F. Hofmann et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion

**EGU**

$$\begin{aligned}
R_{\text{CO}_2}^{\text{dis}} &= \frac{d[\text{HCO}_3^-]}{dt} + \frac{d[\text{CO}_3^{2-}]}{dt} - T_{\text{HCO}_3^-} - T_{\text{CO}_3^{2-}} \\
R_{\text{HCO}_3^-}^{\text{dis}} &= \frac{d[\text{CO}_3^{2-}]}{dt} - T_{\text{CO}_3^{2-}} \\
R_{\text{NH}_4^+}^{\text{dis}} &= \frac{d[\text{NH}_3]}{dt} - T_{\text{NH}_3} - R_{\text{ox}} + R_{\text{nit}} - E_{\text{NH}_3} \\
\frac{d[\text{CO}_2]}{dt} + \frac{d[\text{CO}_3^{2-}]}{dt} + \frac{d[\text{HCO}_3^-]}{dt} &= T_{\text{CO}_2} + T_{\text{HCO}_3^-} + T_{\text{CO}_3^{2-}} + \gamma R_{\text{ox}} + E_{\text{CO}_2} \\
\frac{d[\text{NH}_4^+]}{dt} + \frac{d[\text{NH}_3]}{dt} &= R_{\text{ox}} - R_{\text{nit}} + E_{\text{NH}_3} \\
\frac{d[\text{HCO}_3^-]}{dt} + 2 \frac{d[\text{CO}_3^{2-}]}{dt} + \frac{d[\text{NH}_3]}{dt} - \frac{d[\text{H}^+]}{dt} &= T_{\text{HCO}_3^-} + 2T_{\text{CO}_3^{2-}} + T_{\text{NH}_3} - T_{\text{H}^+} + R_{\text{ox}} \\
&\quad - 2R_{\text{nit}} + E_{\text{NH}_3}
\end{aligned}$$

This system is a replacement for the  $e_s$  differential MCE's of the equilibrium species as given in Table 4. The first three equations can be removed, reducing both the number of unknowns and the number of equations of the system to be solved by three.

- 5 The removed equations can be used to calculate the “unknown” equilibrium reaction rates  $R_{\text{CO}_2}^{\text{dis}}$ ,  $R_{\text{HCO}_3^-}^{\text{dis}}$ , and  $R_{\text{NH}_4^+}^{\text{dis}}$  as output variables of the model.

## Appendix C

### Reformulation of the AE system

- 10 The algebraic equations of the DAE system including the substituted equilibrium invariants reads:

**BGD**

4, 3723–3798, 2007

**pH model  
construction in  
aquatic systems**

A. F. Hofmann et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion

**EGU**

$$\begin{aligned}
 (1) \quad 0 &= [\text{H}^+][\text{HCO}_3^-] - K_{\text{CO}_2}^* [\text{CO}_2] \\
 (2) \quad 0 &= [\text{H}^+][\text{CO}_3^{2-}] - K_{\text{HCO}_3^-}^* [\text{HCO}_3^-] \\
 (3) \quad 0 &= [\text{H}^+][\text{NH}_3] - K_{\text{NH}_4^+}^* [\text{NH}_4^+] \\
 (4) \quad [\sum \text{CO}_2] &= [\text{CO}_2] + [\text{HCO}_3^-] + [\text{CO}_3^{2-}] \\
 (5) \quad [\sum \text{NH}_4^+] &= [\text{NH}_3] + [\text{NH}_4^+] \\
 (6) \quad [\text{TA}] &= [\text{HCO}_3^-] + 2[\text{CO}_3^{2-}] + [\text{NH}_3] - [\text{H}^+]
 \end{aligned}$$

We can solve Eqs. (1) to (3) for concentrations of equilibrium species to obtain:

$$\begin{aligned}
 (a) \quad [\text{HCO}_3^-] &= \frac{K_{\text{CO}_2}^* [\text{CO}_2]}{[\text{H}^+]} \\
 (b) \quad [\text{CO}_2] &= \frac{[\text{H}^+][\text{HCO}_3^-]}{K_{\text{HCO}_3^-}^*} \\
 (c) \quad [\text{CO}_3^{2-}] &= \frac{K_{\text{HCO}_3^-}^* [\text{HCO}_3^-]}{[\text{H}^+]} \\
 (d) \quad [\text{HCO}_3^-] &= \frac{[\text{H}^+][\text{CO}_3^{2-}]}{K_{\text{HCO}_3^-}^*} \\
 (e) \quad [\text{NH}_3] &= \frac{K_{\text{NH}_4^+}^* [\text{NH}_4^+]}{[\text{H}^+]} \\
 (f) \quad [\text{NH}_4^+] &= \frac{[\text{H}^+][\text{NH}_3]}{K_{\text{NH}_4^+}^*}
 \end{aligned}$$

Adding up (a),(b) and (c), as well as (e) and (f) yields:

$$\begin{aligned}
 (h) \quad [\sum \text{CO}_2] &= \frac{K_{\text{CO}_2}^* [\text{CO}_2]}{[\text{H}^+]} + \frac{[\text{H}^+][\text{HCO}_3^-]}{K_{\text{HCO}_3^-}^*} + \frac{K_{\text{HCO}_3^-}^* [\text{HCO}_3^-]}{[\text{H}^+]} \\
 (i) \quad [\sum \text{NH}_4^+] &= \frac{K_{\text{NH}_4^+}^* [\text{NH}_4^+]}{[\text{H}^+]} + \frac{[\text{H}^+][\text{NH}_3]}{K_{\text{NH}_4^+}^*}
 \end{aligned}$$

Plugging (a) into (h) and solving for  $[\text{CO}_2]$ , plugging (b) into (h) and solving for  $[\text{HCO}_3^-]$ , plugging first (b) and then (d) into (h) and solving for  $[\text{CO}_3^{2-}]$ , plugging (e) into (i) and solving for  $[\text{NH}_4^+]$ , plugging (f) into (i) and solving for  $[\text{NH}_3]$  results in the reformulated form of the algebraic equation system:

5

$$[\text{CO}_2] = \frac{[\text{H}^+]^2}{[\text{H}^+]^2 + K_{\text{CO}_2}^* [\text{H}^+] + K_{\text{CO}_2}^* K_{\text{HCO}_3^-}^*} [\sum \text{CO}_2]$$

$$[\text{HCO}_3^-] = \frac{K_{\text{CO}_2}^* [\text{H}^+]}{[\text{H}^+]^2 + K_{\text{CO}_2}^* [\text{H}^+] + K_{\text{CO}_2}^* K_{\text{HCO}_3^-}^*} [\sum \text{CO}_2]$$

$$[\text{CO}_3^{2-}] = \frac{K_{\text{CO}_2}^* K_{\text{HCO}_3^-}^*}{[\text{H}^+]^2 + K_{\text{CO}_2}^* [\text{H}^+] + K_{\text{CO}_2}^* K_{\text{HCO}_3^-}^*} [\sum \text{CO}_2]$$

$$[\text{NH}_4^+] = \frac{[\text{H}^+]}{[\text{H}^+] + K_{\text{NH}_4^+}^*} [\sum \text{NH}_4^+]$$

$$[\text{NH}_3] = \frac{K_{\text{NH}_4^+}^*}{[\text{H}^+] + K_{\text{NH}_4^+}^*} [\sum \text{NH}_4^+]$$

**BGD**

4, 3723–3798, 2007

## pH model construction in aquatic systems

A. F. Hofmann et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion

EGU

# Appendix D

## Additional formulae

D1 Analytical partial derivatives in Eq. (13)

5 Analytically deriving the equations in Table 12, the equations in Table D2 can be obtained.

D2 Coefficients for the rearrangement of the equation for  $\frac{d[H^+]}{dt}$  (Eq. 14)

Table D2 gives the coefficients for the partitioning of Eq. (14) into contributions by different kinetically modelled processes.

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BGD

4, 3723–3798, 2007

## pH model construction in aquatic systems

A. F. Hofmann et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion

EGU

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**BGD**

4, 3723–3798, 2007

## pH model construction in aquatic systems

A. F. Hofmann et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion

**EGU**

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A. F. Hofmann et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion

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**BGD**

4, 3723–3798, 2007

## pH model construction in aquatic systems

A. F. Hofmann et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion

**EGU**



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## pH model construction in aquatic systems

A. F. Hofmann et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion

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**BGD**

4, 3723–3798, 2007

---

**pH model  
construction in  
aquatic systems**

A. F. Hofmann et al.

---

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion

**EGU**

pH model  
construction in  
aquatic systems

A. F. Hofmann et al.

**Table 1.** Estimated rates ( $\mu\text{mol-N (kg} \cdot \text{d)}^{-1}$ ) of biogeochemical processes in the example system ((a): Soetaert and Herman, 1995a; (b): Andersson et al., 2006; (c): Soetaert and Herman, 1995b; (d): Middelburg et al., 1996).

pelagic primary production	$R_{\text{pri}}$	$\approx$	0.1	(a)
pelagic nitrification	$R_{\text{nit}}$	$\approx$	7.5	(b)
pelagic denitrification	$R_{\text{den}}$	$\approx$	6.1	(c)
pelagic oxic respiration	$R_{\text{ox}}$	$\approx$	2.9	(c)
benthic denitrification	$R_{\text{bden}}$	$\approx$	0.7	(c)
benthic oxic respiration	$R_{\text{box}}$	$\approx$	0.3	(d)

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion

**Table 2.** Acid-base reactions in the example system, thermodynamical  $\text{pK}_{\text{HA}}$ 's are infinite dilution values at 25°C as given in (Stumm and Morgan, 1996). The exclusion criterion  $\epsilon$  has been calculated for a desired pH range of 6 to 9, with  $\text{pK}_{\text{HA}}^* \approx \text{pK}_{\text{HA}}$ , and with  $[\text{TA}] = 5000 \mu\text{mol kg}^{-1}$  (estimated from upstream and downstream boundary conditions given in Table 14), and with total concentrations for the given system as listed (total nitrate and ammonium are measured values for the example model system, total carbon dioxide has been estimated and all other total quantities have been calculated from salinity  $S=5$  according to DOE, 1994).

reaction ( $\text{HA} \rightleftharpoons \text{H}^+ + \text{A}^-$ )	$\text{pK}_{\text{HA}}$	$\frac{\sum A}{\mu\text{mol kg}^{-1}}$	$\frac{\epsilon}{\%}$
(1) $\text{HCl} \rightleftharpoons \text{H}^+ + \text{Cl}^-$	−3	$2.8 \cdot 10^4$	$5.6 \cdot 10^{-7}$
(2) $\text{Na}^+ + \text{H}_2\text{O} \rightleftharpoons \text{H}^+ + \text{NaOH}$	14	$2.4 \cdot 10^4$	$4.8 \cdot 10^{-3}$
(3) $\text{H}_2\text{SO}_4 \rightleftharpoons \text{H}^+ + \text{HSO}_4^-$	−3	$1.5 \cdot 10^3$	$2.9 \cdot 10^{-8}$
(4) $\text{HSO}_4^- \rightleftharpoons \text{H}^+ + \text{SO}_4^{2-}$	2	$1.5 \cdot 10^3$	$2.9 \cdot 10^{-3}$
(5) $\text{HNO}_3 \rightleftharpoons \text{H}^+ + \text{NO}_3^-$	−1	$3.2 \cdot 10^2$	$6.4 \cdot 10^{-7}$
(6) $\text{NH}_4^+ \rightleftharpoons \text{H}^+ + \text{NH}_3$	9	$2.9 \cdot 10^1$	0.58
(7) $\text{CO}_2 + \text{H}_2\text{O} \rightleftharpoons \text{H}^+ + \text{HCO}_3^-$	6	$6.0 \cdot 10^3$	120
(8) $\text{HCO}_3^- \rightleftharpoons \text{H}^+ + \text{CO}_3^{2-}$	10	$6.0 \cdot 10^3$	12
(9) $\text{H}_2\text{O} \rightleftharpoons \text{H}^+ + \text{OH}^-$	16	$5.5 \cdot 10^7$	0.11

## pH model construction in aquatic systems

A. F. Hofmann et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

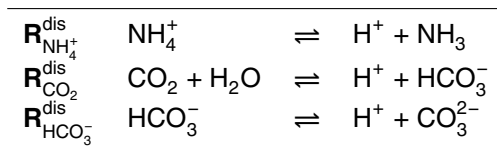
Printer-friendly Version

Interactive Discussion

**pH model  
construction in  
aquatic systems**

A. F. Hofmann et al.

**Table 3.** PH range adjusted set of acid-base reactions.



Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion

pH model  
construction in  
aquatic systems

A. F. Hofmann et al.

**Table 4.** Mass conservation equations (MCEs) for each chemical species.

(1)	$\frac{d[OM]}{dt}$	=	$T_{OM} - R_{ox}$
(2)	$\frac{d[O_2]}{dt}$	=	$T_{O_2} + E_{O_2} - \gamma R_{ox} - 2 R_{nit}$
(3)	$\frac{d[NO_3^-]}{dt}$	=	$T_{NO_3^-} + R_{nit}$
(4)	$\frac{d[CO_2]}{dt}$	=	$T_{CO_2} + E_{CO_2} + \gamma R_{ox} - R_{CO_2}^{dis}$
(5)	$\frac{d[HCO_3^-]}{dt}$	=	$T_{HCO_3^-} + R_{CO_2}^{dis} - R_{HCO_3^-}^{dis}$
(6)	$\frac{d[CO_3^{2-}]}{dt}$	=	$T_{CO_3^{2-}} + R_{HCO_3^-}^{dis}$
(7)	$\frac{d[NH_4^+]}{dt}$	=	$T_{NH_4^+} - R_{NH_4^+}^{dis} - R_{nit}$
(8)	$\frac{d[NH_3]}{dt}$	=	$T_{NH_3} + E_{NH_3} + R_{ox} + R_{NH_4^+}^{dis}$
(9)	$\frac{d[H^+]}{dt}$	=	$T_{H^+} + 2 R_{nit} + R_{CO_2}^{dis} + R_{HCO_3^-}^{dis} + R_{NH_4^+}^{dis}$

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion

pH model  
construction in  
aquatic systems

A. F. Hofmann et al.

**Table 5.** Characteristic time  $\tau$  of processes to be modeled. Values for  $R_{\text{NH}_4^+}^{\text{dis}}$  and  $R_{\text{CO}_2}^{\text{dis}}$  are obtained from Zeebe and Wolf-Gladrow (2001), and  $R_{\text{HCO}_3^-}^{\text{dis}}$  from Morel and Hering (1993). Values for the remaining processes are estimated from Tables 1 and 14. For the exchange with the atmosphere, piston velocities  $K_L$  as given by Raymond and Cole (2001) were used.

(1)	$R_{\text{ox}}$	10	$d$
(2)	$R_{\text{nit}}$	4	$d$
(3)	$T_X$	13	$d$
(4)	$E_X$	4	$d$
(5)	$R_{\text{NH}_4^+}^{\text{dis}}$	10	$s$
(6)	$R_{\text{CO}_2}^{\text{dis}}$	$10^{-7}$	$s$
(7)	$R_{\text{HCO}_3^-}^{\text{dis}}$	$10^{-2}$	$s$

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion

pH model  
construction in  
aquatic systems

A. F. Hofmann et al.

**Table 6.** Kinetic and equilibrium processes and species.  $n_x$  denotes the number of respective species or processes.

species ( $n_s=9$ )	kinetic	OM, O <sub>2</sub> , NO <sub>3</sub> <sup>-</sup>	$n_{ks} = 3$
	equilibrium	CO <sub>2</sub> , HCO <sub>3</sub> <sup>-</sup> , CO <sub>3</sub> <sup>2-</sup> , NH <sub>4</sub> <sup>+</sup> , NH <sub>3</sub> , H <sup>+</sup>	$n_{es} = 6$
processes ( $n_p=7$ )	kinetic	$R_{ox}$ , $R_{nit}$ , $T_X$ , $E_X$	$n_{kp} = 4$
	equilibrium	$R_{NH_4}^{dis}$ , $R_{CO_2}^{dis}$ , $R_{HCO_3}^{dis}$	$n_{ep} = 3$

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion



**pH model  
construction in  
aquatic systems**

A. F. Hofmann et al.

**Table 7.** Kinetic process formulations.  $[X]_{\text{up}}$  represents the upstream concentration of species X,  $[X]_{\text{down}}$  its downstream concentration, and  $[X]_{\text{sat}}$  its saturation concentration.

$$\begin{aligned}
 \mathbf{R}_{\text{ox}} &= r_{\text{ox}} \cdot [\text{OM}] \cdot ([\text{O}_2] / ([\text{O}_2] + k s_{\text{O}_2})) \\
 \mathbf{R}_{\text{nit}} &= r_{\text{nit}} \cdot [\text{NH}_4^+] \cdot ([\text{O}_2] / ([\text{O}_2] + k s_{\text{O}_2})) \\
 \mathbf{T}_X &= (Q/V) \cdot ([X]_{\text{up}} - [X]) \\
 &\quad + (E'/V) \cdot ([X]_{\text{up}} + [X]_{\text{down}} - 2 \cdot [X]) \\
 \mathbf{E}_X &= (K_L/d_w) \cdot ([X]_{\text{sat}} - [X])
 \end{aligned}$$

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion

**Table 8.** Fully determined explicit DAE system. Note that the dissociation constants used are stoichiometric constants (denoted by the star as superscript; in contrast to thermodynamic constants; see Zeebe and Wolf-Gladrow (2001) for a description of different dissociation constants).

$\frac{d[OM]}{dt}$	=	$T_{OM} - R_{ox}^{dis}$
$\frac{d[O_2]}{dt}$	=	$T_{O_2} + E_{O_2} - \gamma R_{ox}^{dis} - 2 R_{nit}^{dis}$
$\frac{d[NO_3^-]}{dt}$	=	$T_{NO_3^-} + R_{nit}^{dis}$
$\frac{d[CO_2]}{dt}$	=	$T_{CO_2} + E_{CO_2} + \gamma R_{ox}^{dis} - R_{CO_2}^{dis}$
$\frac{d[HCO_3^-]}{dt}$	=	$T_{HCO_3^-} + R_{CO_2}^{dis} - R_{HCO_3^-}^{dis}$
$\frac{d[CO_3^{2-}]}{dt}$	=	$T_{CO_3^{2-}} + R_{HCO_3^-}^{dis}$
$\frac{d[NH_4^+]}{dt}$	=	$T_{NH_4^+} - R_{nit}^{dis} - R_{NH_4^+}^{dis}$
$\frac{d[NH_3]}{dt}$	=	$T_{NH_3} + E_{NH_3} + R_{ox}^{dis} + R_{NH_4^+}^{dis}$
$\frac{d[H^+]}{dt}$	=	$T_{H^+} + 2 R_{nit}^{dis} + R_{CO_2}^{dis} + R_{HCO_3^-}^{dis} + R_{NH_4^+}^{dis}$
0	=	$[H^+][HCO_3^-] - K_{CO_2}^*[CO_2]$
0	=	$[H^+][CO_3^{2-}] - K_{HCO_3^-}^*[HCO_3^-]$
0	=	$[H^+][NH_3] - K_{NH_4^+}^*[NH_4^+]$

## pH model construction in aquatic systems

A. F. Hofmann et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion

**Table 9.** Canonically transformed model: a fully determined implicit initial-value DAE system. The combined mass conservation equations obtained by this transformation are equivalent to the result of a series of linear combinations of the MCEs from Table 4: (4) + (5) + (6); (7) + (8); and (5) + 2 · (6) + (8) – (9).

differential MCEs of kinetic species		
(1)	$\frac{d[OM]}{dt}$	$= T_{OM} - R_{ox}$
(2)	$\frac{d[O_2]}{dt}$	$= T_{O_2} + E_{O_2} - \gamma R_{ox} - 2 R_{nit}$
(3)	$\frac{d[NO_3^-]}{dt}$	$= T_{NO_3^-} + R_{nit}$
combined differential MCEs of equilibrium species		
(4)	$\frac{d[CO_2]}{dt} + \frac{d[HCO_3^-]}{dt} + \frac{d[CO_3^{2-}]}{dt}$	$= T_{CO_2} + T_{HCO_3^-} + T_{CO_3^{2-}} + E_{CO_2} + \gamma R_{ox}$
(5)	$\frac{d[NH_3]}{dt} + \frac{d[NH_4^+]}{dt}$	$= T_{NH_3} + T_{NH_4^+} + E_{NH_3} + R_{ox} - R_{nit}$
(6)	$\frac{d[HCO_3^-]}{dt} + 2 \frac{d[CO_3^{2-}]}{dt} + \frac{d[NH_3]}{dt} - \frac{d[H^+]}{dt}$	$= T_{HCO_3^-} + 2 T_{CO_3^{2-}} + T_{NH_3} - T_{H^+} + E_{NH_3} + R_{ox} - 2 R_{nit}$
algebraic constraints (AEs): mass-action laws		
(7)	0	$= [H^+][HCO_3^-] - K_{CO_2}^*[CO_2]$
(8)	0	$= [H^+][CO_3^{2-}] - K_{HCO_3^-}^*[HCO_3^-]$
(9)	0	$= [H^+][NH_3] - K_{NH_4^+}^*[NH_4^+]$

## pH model construction in aquatic systems

A. F. Hofmann et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion

**pH model  
construction in  
aquatic systems**

A. F. Hofmann et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion

**Table 10.** Composite variables to create explicit ODEs in Table 9.

A	$:=$	$[\text{CO}_2] + [\text{HCO}_3^-] + [\text{CO}_3^{2-}]$	$\triangleq$	$[\sum \text{CO}_2]$
B	$:=$	$[\text{NH}_3] + [\text{NH}_4^+]$	$\triangleq$	$[\sum \text{NH}_4^+]$
C	$:=$	$[\text{HCO}_3^-] + 2[\text{CO}_3^{2-}] + [\text{NH}_3] - [\text{H}^+]$	$\triangleq$	$[\text{TA}]$

**pH model  
construction in  
aquatic systems**

A. F. Hofmann et al.

**Table 11.** The model system written in tableau notation (Morel and Hering, 1993) with corresponding mole balance equations including their equivalence to our equilibrium invariants.

species	components		
	CO <sub>2</sub>	NH <sub>4</sub> <sup>+</sup>	H <sup>+</sup>
CO <sub>2</sub>	1		
HCO <sub>3</sub> <sup>-</sup>	1		-1
CO <sub>3</sub> <sup>2-</sup>	1		-2
NH <sub>4</sub> <sup>+</sup>		1	
NH <sub>3</sub>		1	-1
H <sup>+</sup>			1

$$TOTCO_2 = [CO_2] + [HCO_3^-] + [CO_3^{2-}] \triangleq [\sum CO_2]$$

$$TOTNH_4 = [NH_4^+] + [NH_3] \triangleq [\sum NH_4^+]$$

$$TOTH = -[HCO_3^-] - 2[CO_3^{2-}] - [NH_3] + [H^+] \triangleq -[TA]$$

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion

**Table 12.** System reformulated in terms of equilibrium invariants: explicit ODEs and equilibrium species as functions of  $[H^+]$  and equilibrium invariants.

### MCEs of kinetic species

- $$\begin{aligned} (1) \quad \frac{d[OM]}{dt} &= T_{OM} - R_{ox} \\ (2) \quad \frac{d[O_2]}{dt} &= T_{O_2} + E_{O_2} - \gamma R_{ox} - 2 R_{nit} \\ (3) \quad \frac{d[NO_3^-]}{dt} &= T_{NO_3^-} + R_{nit} \end{aligned}$$

### MCEs of equilibrium invariants

- $$\begin{aligned} (4) \quad \frac{d[\sum CO_2]}{dt} &= T_{CO_2} + T_{HCO_3^-} + T_{CO_3^{2-}} + E_{CO_2} + \gamma R_{ox} \\ (5) \quad \frac{d[\sum NH_4^+]}{dt} &= T_{NH_3} + T_{NH_4^+} + E_{NH_3} + R_{ox} - R_{nit} \\ (6) \quad \frac{d[TA]}{dt} &= T_{HCO_3^-} + 2 T_{CO_3^{2-}} + T_{NH_3} - T_{H^+} + E_{NH_3} + R_{ox} - 2 R_{nit} \end{aligned}$$

### algebraic constraints (AEs)

- $$\begin{aligned} (7) \quad [CO_2] &= \frac{[H^+]^2}{[H^+]^2 + K_{CO_2}^* [H^+] + K_{CO_2}^* K_{HCO_3^-}^*} [\sum CO_2] \triangleq f_1^C ([H^+]) \cdot [\sum CO_2] \\ (8) \quad [HCO_3^-] &= \frac{K_{CO_2}^* [H^+]}{[H^+]^2 + K_{CO_2}^* [H^+] + K_{CO_2}^* K_{HCO_3^-}^*} [\sum CO_2] \triangleq f_2^C ([H^+]) \cdot [\sum CO_2] \\ (9) \quad [CO_3^{2-}] &= \frac{K_{CO_2}^* K_{HCO_3^-}^*}{[H^+]^2 + K_{CO_2}^* [H^+] + K_{CO_2}^* K_{HCO_3^-}^*} [\sum CO_2] \triangleq f_3^C ([H^+]) \cdot [\sum CO_2] \\ (10) \quad [NH_4^+] &= \frac{[H^+]}{[H^+] + K_{NH_4^+}^*} [\sum NH_4^+] \triangleq f_1^N ([H^+]) \cdot [\sum NH_4^+] \\ (11) \quad [NH_3] &= \frac{K_{NH_4^+}^*}{[H^+] + K_{NH_4^+}^*} [\sum NH_4^+] \triangleq f_2^N ([H^+]) \cdot [\sum NH_4^+] \\ (12) \quad [TA] &= [HCO_3^-] + 2 [CO_3^{2-}] + [NH_3] - [H^+] \end{aligned}$$

**Table 13.** ODE part of the DAE system with direct substitution of  $\frac{d[\text{TA}]}{dt}$  by  $\frac{d[\text{H}^+]}{dt}$

kinetic species
$\frac{d[\text{OM}]}{dt} = \text{T}_{\text{OM}} - \text{R}_{\text{ox}}$ $\frac{d[\text{O}_2]}{dt} = \text{T}_{\text{O}_2} + \text{E}_{\text{O}_2} - \gamma \text{R}_{\text{ox}} - 2 \text{R}_{\text{nit}}$ $\frac{d[\text{NO}_3^-]}{dt} = \text{T}_{\text{NO}_3^-} + \text{R}_{\text{nit}}$
equilibrium invariants
$\frac{d[\sum \text{CO}_2]}{dt} = \text{T}_{\text{CO}_2} + \text{T}_{\text{HCO}_3^-} + \text{T}_{\text{CO}_3^{2-}} + \text{E}_{\text{CO}_2} + \gamma \text{R}_{\text{ox}}$ $\frac{d[\sum \text{NH}_4^+]}{dt} = \text{T}_{\text{NH}_3} + \text{T}_{\text{NH}_4^+} + \text{E}_{\text{NH}_3} + \text{R}_{\text{ox}} - \text{R}_{\text{nit}}$
equilibrium species
$\frac{d[\text{H}^+]}{dt} = \left( \text{T}_{\text{HCO}_3^-} + 2 \text{T}_{\text{CO}_3^{2-}} + \text{T}_{\text{OH}^-} + \text{T}_{\text{NH}_3} \right. \\ \left. - \text{T}_{\text{H}^+} + \text{E}_{\text{NH}_3} + \text{R}_{\text{ox}} - 2 \cdot \text{R}_{\text{nit}} \right) \bigg/ \frac{\partial[\text{TA}]}{\partial[\text{H}^+]} \bigg _{c,n}$ $- \left( \text{T}_{\text{CO}_2} + \text{T}_{\text{HCO}_3^-} + \text{T}_{\text{CO}_3^{2-}} \right. \\ \left. + \text{E}_{\text{CO}_2} + \gamma \text{R}_{\text{ox}} \right) \frac{\partial[\text{TA}]}{\partial[\sum \text{CO}_2]} \bigg _{h,n} \bigg/ \frac{\partial[\text{TA}]}{\partial[\text{H}^+]} \bigg _{c,n}$ $- \left( \text{T}_{\text{NH}_3} + \text{T}_{\text{NH}_4^+} + \text{E}_{\text{NH}_3} \right. \\ \left. + \text{R}_{\text{ox}} - \text{R}_{\text{nit}} \right) \frac{\partial[\text{TA}]}{\partial[\sum \text{NH}_4^+]} \bigg _{h,c} \bigg/ \frac{\partial[\text{TA}]}{\partial[\text{H}^+]} \bigg _{c,n}$

**Table 14.** *Characteristic parameters of the model domain:*  $K_L$  has been calculated by using a  $k_{600}$  value (piston velocity), normalized to a Schmidt number of 600 (the value for carbon dioxide in freshwater at 20°C), for the Schelde at Antwerp from [Borges et al. \(2004\)](#), and a Schmidt number for carbon dioxide at a temperature of 12 °C and a salinity of 5 from [Wanninkhof \(1992\)](#).  $r_{ox}$  has been obtained by dividing pelagic oxic mineralisation rates from [Soetaert and Herman \(1995b\)](#) by measured  $[OM]$  values for 2004.  $r_{nit}$  has been calculated in similar fashion using nitrification rates obtained from [Andersson et al. \(2006\)](#).  $[CO_2]_{sat}$  has been calculated according to a formula given in [Weiss \(1974\)](#) and atmospheric carbon dioxide levels from [Borges et al. \(2004\)](#). All dissociation constants are on the free hydrogen ion scale and for a temperature of  $T=12$  °C and salinity  $S=5$ . *Boundary conditions of the model domain:* Values for  $[\sum CO_2]$  have been obtained from [Hellings et al. \(2001\)](#). All other values are NIOO monitoring values for 2004, except for the values for  $[TA]$  which have been consistently calculated. “NM 2004” refers to measured data from 2004 obtained by the NIOO monitoring program.

Parameters					
Volume	$V$	108 798 000	$m^3$	(Soetaert and Herman, 1994)	
Freshwater flow	$Q$	100	$m^3 s^{-1}$	(Heip, 1988)	
Bulk dispersion coefficient	$E'$	160	$m^3 s^{-1}$	(Soetaert and Herman, 1994)	
Mean water depth	$d_w$	10	$m$	(Soetaert and Herman, 1994)	
Residence time	$t_r$	14	$d$	(Soetaert and Herman, 1994)	
Piston velocity	$K_L$	2.8	$m d^{-1}$	(Borges et al., 2004; Wanninkhof, 1992)	
First order oxic mineralisation rate	$r_{ox}$	0.1	$d^{-1}$	(Soetaert and Herman, 1995b), NM 2004	
First order nitrification rate	$r_{nit}$	0.26	$d^{-1}$	(Andersson et al., 2006), NM 2004	
Oxygen inhibition half saturation constant	$k_{SO_2}$	20.0	$\mu mol-O_2 kg^{-1}$	(Soetaert and Herman, 1995b)	
Carbon to nitrogen ratio of organic matter	$Y$	8	$mol-C mol-N^{-1}$	(Soetaert and Herman, 1995b)	
Mean water temperature	$T$	12	$^{\circ}C$	NM 2004	
Mean salinity	$S$	5		NM 2004	
CO <sub>2</sub> saturation concentration	$[CO_2]_{sat}$	19	$\mu mol kg^{-1}$	(Weiss, 1974; Borges et al., 2004)	
O <sub>2</sub> saturation concentration	$[O_2]_{sat}$	325	$\mu mol kg^{-1}$	(Garcia and Gordon, 1992)	
NH <sub>3</sub> saturation concentration	$[NH_3]_{sat}$	0.0001	$\mu mol kg^{-1}$	estimated	
Dissociation constant of CO <sub>2</sub>	$K_{CO_2}$	$6.92522 \cdot 10^{-1}$	$\mu mol kg^{-1}$	(Roy et al., 1993)	
Dissociation constant of HCO <sub>3</sub> <sup>-</sup>	$K_{HCO_3^-}$	$2.58997 \cdot 10^{-4}$	$\mu mol kg^{-1}$	(Roy et al., 1993)	
Dissociation constant of NH <sub>4</sub> <sup>+</sup>	$K_{NH_4^+}$	$2.23055 \cdot 10^{-4}$	$\mu mol kg^{-1}$	(Millero, 1995)	
Ion product of H <sub>2</sub> O	$K_{H_2O}$	$7.30132 \cdot 10^{-3}$	$(\mu mol kg^{-1})^2$	(Millero, 1995)	
Boundary conditions					
		upstream	downstream		
organic matter concentration	[OM]	50	25	$\mu mol-N kg^{-1}$	NM 2004
nitrate	[NO <sub>3</sub> <sup>-</sup> ]	350	260	$\mu mol kg^{-1}$	NM 2004
oxygen	[O <sub>2</sub> ]	70	240	$\mu mol kg^{-1}$	NM 2004
total ammonium	$[\sum NH_4^+]$	80	7	$\mu mol kg^{-1}$	NM 2004
total carbon dioxide	$[\sum CO_2]$	7100	4400	$\mu mol kg^{-1}$	(Hellings et al., 2001)
free protons	[H <sup>+</sup> ]	0.025	0.0121	$\mu mol kg^{-1}$	NM 2004
total alkalinity	[TA]	6926	4416	$\mu mol kg^{-1}$	calculated

BGD

4, 3723–3798, 2007

## pH model construction in aquatic systems

A. F. Hofmann et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion

EGU



**pH model  
construction in  
aquatic systems**

A. F. Hofmann et al.

**Table 15.** Steady state baseline values compared with measured values from 2004 (NIOO monitoring data). All quantities except for pH have the unit  $\mu\text{mol kg}^{-1}$ .

quantity	baseline	baseline	baseline	measured
		+ $R_{\text{H}_2\text{O}}$	+ $R_{\text{H}_2\text{O}}$ + $R_{\text{den}}$	
[OM]	32	32	30	29
[NO <sub>3</sub> <sup>-</sup> ]	340	340	328	322
[O <sub>2</sub> ]	158	158	159	154
pH	7.70	7.70	7.71	7.70
[ $\sum\text{NH}_4^+$ ]	36	36	37	29
[ $\sum\text{CO}_2$ ]	6017	6017	6030	
[TA]	5929	5929	5942	

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion

**pH model  
construction in  
aquatic systems**

A. F. Hofmann et al.

**Table 16.** The carbonate system before and after the change in upstream organic matter loading (all values in  $\mu\text{mol kg}^{-1}$ ).

species	before	after	$\Delta$
$[\text{CO}_2]$	164.57	153.8	−10.77
$[\text{HCO}_3^-]$	5776.88	5766.0	−10.88
$[\text{CO}_3^{2-}]$	75.84	80.85	+5.01

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion

**Table 17.** Summary of our pH modeling approach.

pH modeling in 10 steps
1 Formulation of the model question.
2 Formulation of the conceptual model.
3 Constraining the model pH range.
4 Writing down a MCE for all species whose concentrations are influenced by modeled processes. The system is now solvable with the <b>full kinetic approach (FKA)</b> .
5 Partitioning the modeled process into kinetic and equilibrium processes according to their timescales and defining kinetic expressions for kinetic processes.
6 Mathematically closing the system by formulating the mass action laws of the equilibrium processes.
7 Canonically transforming the system: reformulating it into an implicit DAE system without any purely algebraic variables. The system is now solvable with the <b>full numerical approach (FNA)</b> .
8 Introducing the equilibrium invariants to make the differential equations of the DAE into explicit ODEs.
9 Reformulating the algebraic part of the DAE to explicitly express all equilibrium species as functions of $[H^+]$ and equilibrium invariants. The system is now solvable with the <b>operator splitting approach (OSA)</b> .
10 Reformulating the system according to the <b>direct substitution approach (DSA)</b> : substitute the expression for $\frac{d[TA]}{dt}$ by an expression for $\frac{d[H^+]}{dt}$ to get rid of the AE systems non-linearity in an unknown variable. The expression for $\frac{d[H^+]}{dt}$ can be partitioned such that the influences of modeled kinetic processes onto $\frac{d[H^+]}{dt}$ can be quantified.

BGD

4, 3723–3798, 2007

pH model  
construction in  
aquatic systems

A. F. Hofmann et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion

EGU

---

**pH model  
construction in  
aquatic systems**

---

A. F. Hofmann et al.

---

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion

**Table 18.** CPU time in milliseconds for one model run of all mentioned solution approaches and scenarios. Values are averages of 1000 runs each. All approaches are integrated with DASSL to be comparable. The model output generated with the five approaches is identical. The FKA is implemented including the local equilibrium assumption by estimating very high forward and backward rates whose ratio is the equilibrium constant. The OSA (3a) has been implemented using the Newton-Raphson root finding procedure. The benchmarking has been done on an Intel<sup>®</sup> Pentium<sup>®</sup> 4 CPU with 3 GHz and 1 GB RAM, running Microsoft Windows XP Professional, Version 2002, SP2. The compiling has been done with Compaq Visual Fortran Professional Edition 6.6.0. Please note that the computational advantage of OSA (3b) and DSA over FKA, FNA and OSA (3b) is expected to be more prominent for more complex systems. However, a detailed theoretical runtime analysis of all methods is beyond the scope of this paper.

scenario	FKA	FNA	OSA (3a)	OSA (3b)	DSA
baseline simulation	70	63	48	43	43
A	74	69	53	48	48
B	77	72	58	50	50
C	80	74	59	51	52

**Table D1.** Analytical partial derivatives in Eq. (13).

$$\left. \frac{\partial[\text{TA}]}{\partial[\sum \text{CO}_2]} \right|_{h,n} = \frac{K_{\text{CO}_2}^* [\text{H}^+]}{[\text{H}^+]^2 + K_{\text{CO}_2}^* [\text{H}^+] + K_{\text{CO}_2}^* K_{\text{HCO}_3^-}^*} + 2 \left( \frac{K_{\text{CO}_2}^* K_{\text{HCO}_3^-}^*}{[\text{H}^+]^2 + K_{\text{CO}_2}^* [\text{H}^+] + K_{\text{CO}_2}^* K_{\text{HCO}_3^-}^*} \right)$$

$$\left. \frac{\partial[\text{TA}]}{\partial[\sum \text{NH}_4^+]} \right|_{h,c} = \frac{K_{\text{NH}_4^+}^*}{[\text{H}^+] + K_{\text{NH}_4^+}^*}$$

$$\left. \frac{\partial[\text{TA}]}{\partial[\text{H}^+]} \right|_{c,n} = \frac{\partial[\text{HCO}_3^-]}{\partial[\text{H}^+]} + 2 \frac{\partial[\text{CO}_3^{2-}]}{\partial[\text{H}^+]} + \frac{\partial[\text{NH}_3]}{\partial[\text{H}^+]} - \frac{\partial[\text{H}^+]}{\partial[\text{H}^+]}$$

$$\frac{\partial[\text{HCO}_3^-]}{\partial[\text{H}^+]} = \left( \frac{K_{\text{CO}_2}^*}{[\text{H}^+] K_{\text{CO}_2}^* + K_{\text{CO}_2}^* K_{\text{HCO}_3^-}^* + [\text{H}^+]^2} - \frac{[\text{H}^+] K_{\text{CO}_2}^* (2[\text{H}^+] + K_{\text{CO}_2}^*)}{([\text{H}^+] K_{\text{CO}_2}^* + K_{\text{CO}_2}^* K_{\text{HCO}_3^-}^* + [\text{H}^+]^2)^2} \right) [\sum \text{CO}_2]$$

$$\frac{\partial[\text{CO}_3^{2-}]}{\partial[\text{H}^+]} = - \frac{K_{\text{CO}_2}^* K_{\text{HCO}_3^-}^* (2[\text{H}^+] + K_{\text{CO}_2}^*)}{([\text{H}^+] K_{\text{CO}_2}^* + K_{\text{CO}_2}^* K_{\text{HCO}_3^-}^* + [\text{H}^+]^2)^2} [\sum \text{CO}_2]$$

$$\frac{\partial[\text{NH}_3]}{\partial[\text{H}^+]} = - \frac{K_{\text{NH}_4^+}^*}{[\text{H}^+]^2 + 2[\text{H}^+] K_{\text{NH}_4^+}^* + (K_{\text{NH}_4^+}^*)^2} [\sum \text{NH}_4^+]$$

$$\frac{\partial[\text{H}^+]}{\partial[\text{H}^+]} = 1$$

**pH model  
construction in  
aquatic systems**

A. F. Hofmann et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

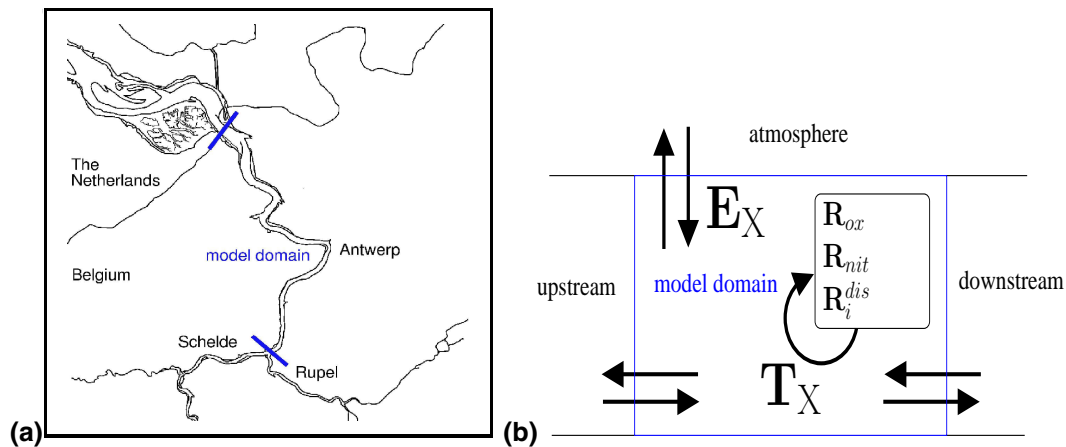
Interactive Discussion

**Table D21.** Coefficients for the partitioning of  $\frac{d[H^+]}{dt}$  into contributions by modeled kinetic processes.

$$\begin{aligned}
 \alpha_{R_{ox}} \frac{\partial[TA]}{\partial[H^+]} \Bigg|_{c,n} &= \beta_{R_{ox}} = 1 - \left( \gamma \frac{\partial[TA]}{\partial[\sum CO_2]} \Bigg|_{h,n} + \frac{\partial[TA]}{\partial[\sum NH_4^+]} \Bigg|_{h,c} \right) \\
 \alpha_{R_{nit}} \frac{\partial[TA]}{\partial[H^+]} \Bigg|_{c,n} &= \beta_{R_{nit}} = -2 + \frac{\partial[TA]}{\partial[\sum NH_4^+]} \Bigg|_{h,c} \\
 \alpha_{E_{CO_2}} \frac{\partial[TA]}{\partial[H^+]} \Bigg|_{c,n} &= \beta_{E_{CO_2}} = - \frac{\partial[TA]}{\partial[\sum CO_2]} \Bigg|_{h,n} \\
 \alpha_{E_{NH_3}} \frac{\partial[TA]}{\partial[H^+]} \Bigg|_{c,n} &= \beta_{E_{NH_3}} = 1 - \frac{\partial[TA]}{\partial[\sum NH_4^+]} \Bigg|_{h,c} \\
 \sum T \frac{\partial[TA]}{\partial[H^+]} \Bigg|_{c,n} &= + \left( T_{HCO_3^-} + 2 T_{CO_3^{2-}} + T_{NH_3} - T_{H^+} \right) \\
 &\quad - \left( T_{CO_2} + T_{HCO_3^-} + T_{CO_3^{2-}} \right) \frac{\partial[TA]}{\partial[\sum CO_2]} \Bigg|_{h,n} \\
 &\quad - \left( T_{NH_3} + T_{NH_4^+} \right) \frac{\partial[TA]}{\partial[\sum NH_4^+]} \Bigg|_{h,c}
 \end{aligned}$$

**pH model  
construction in  
aquatic systems**

A. F. Hofmann et al.



**Fig. 1.** Panel (a) (left side): the example system; panel (b) (right side): schematic conceptual model

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

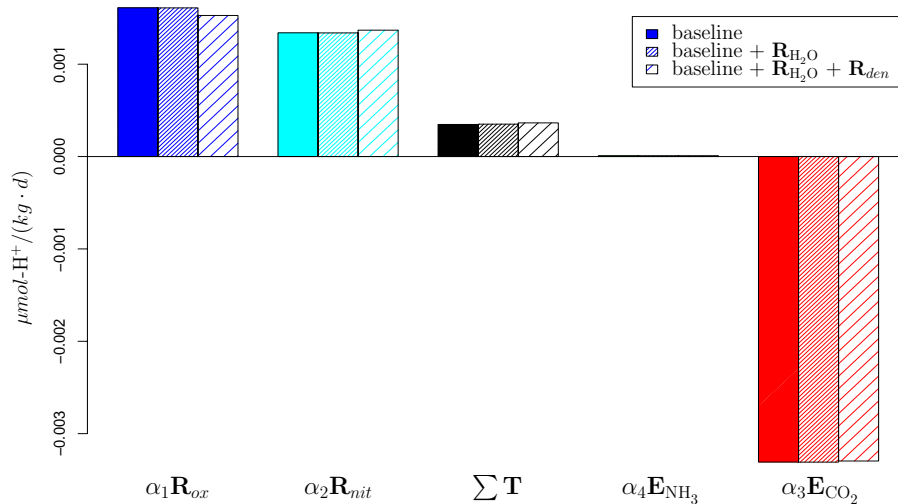
Full Screen / Esc

Printer-friendly Version

Interactive Discussion

pH model  
construction in  
aquatic systems

A. F. Hofmann et al.



**Fig. 2.** Partitioning of  $\frac{d[H^+]}{dt}$  according to Table D2.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

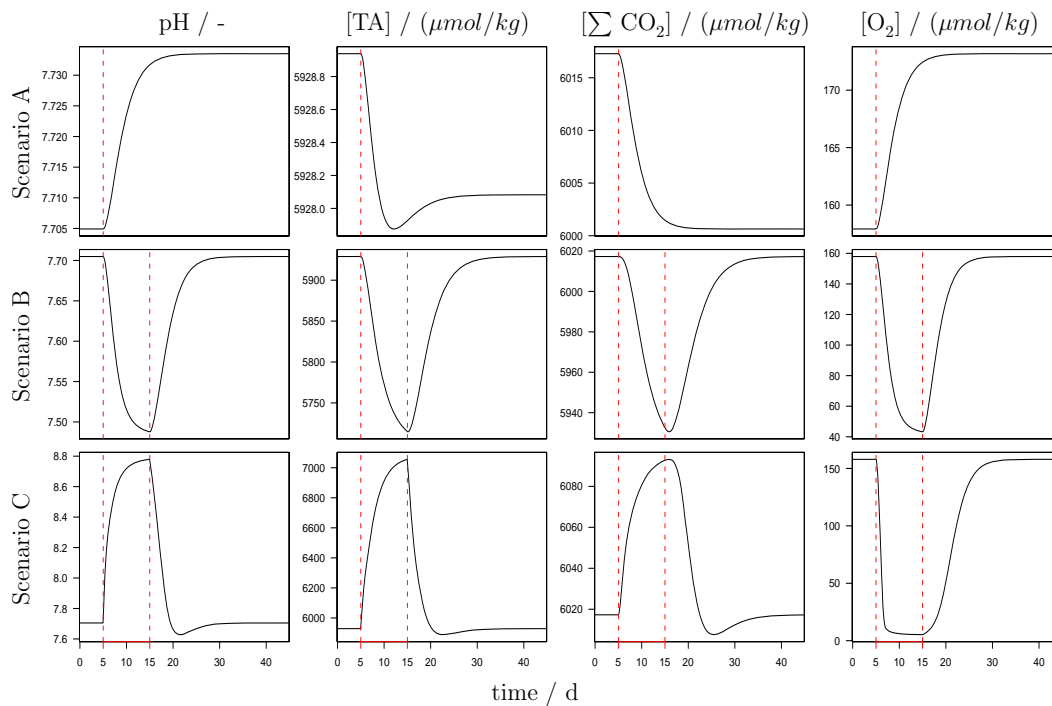
Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion





**Fig. 3.** The pH, [TA], [Σ CO<sub>2</sub>] and [O<sub>2</sub>] development for the three model scenarios.

## pH model construction in aquatic systems

A. F. Hofmann et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

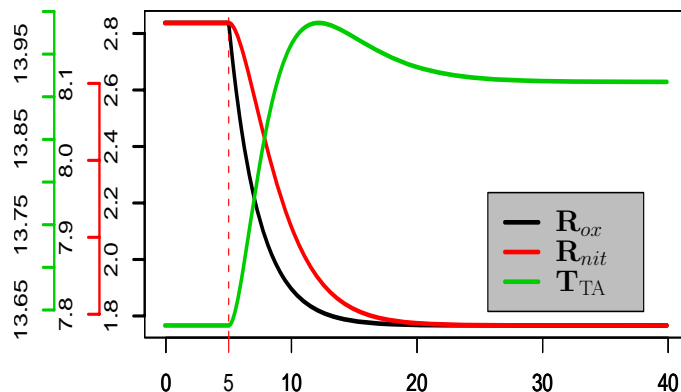
Back

Close

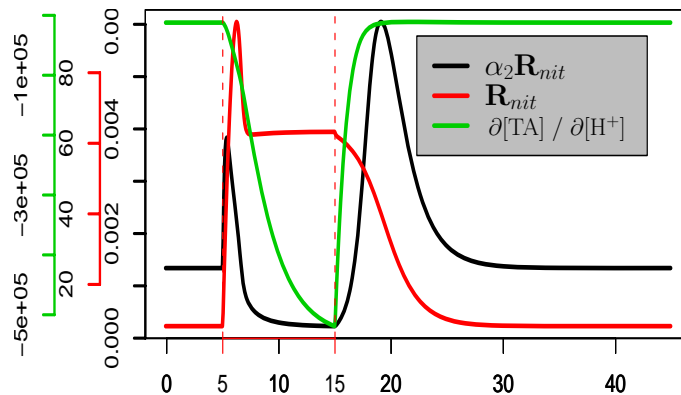
Full Screen / Esc

Printer-friendly Version

Interactive Discussion



Scenario A



Scenario C

**Fig. 4.** Key quantities of scenario A and C, all scaled to the plot area.

**BGD**

4, 3723–3798, 2007

## pH model construction in aquatic systems

A. F. Hofmann et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

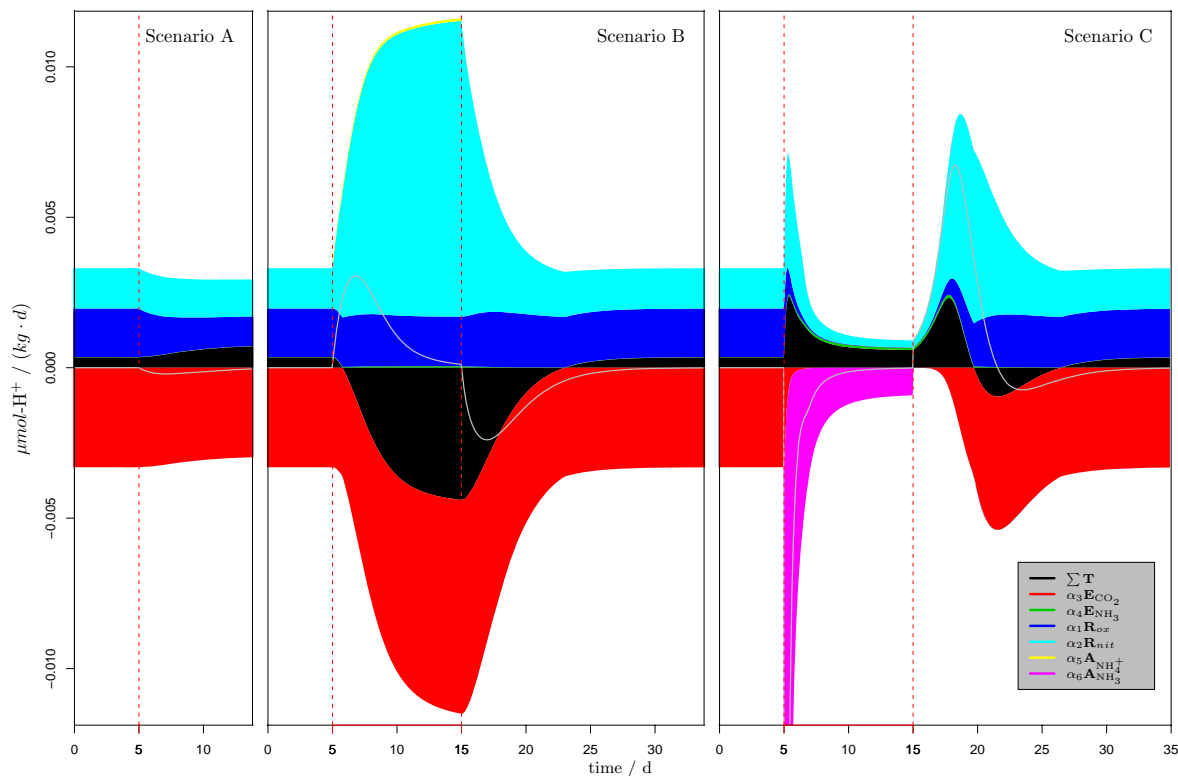
Printer-friendly Version

Interactive Discussion

**EGU**

# pH model construction in aquatic systems

A. F. Hofmann et al.



**Fig. 5.** Budget of  $\frac{d[H^+]}{dt}$  for the three model scenarios. The gray line indicates the net  $\frac{d[H^+]}{dt}$ .

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

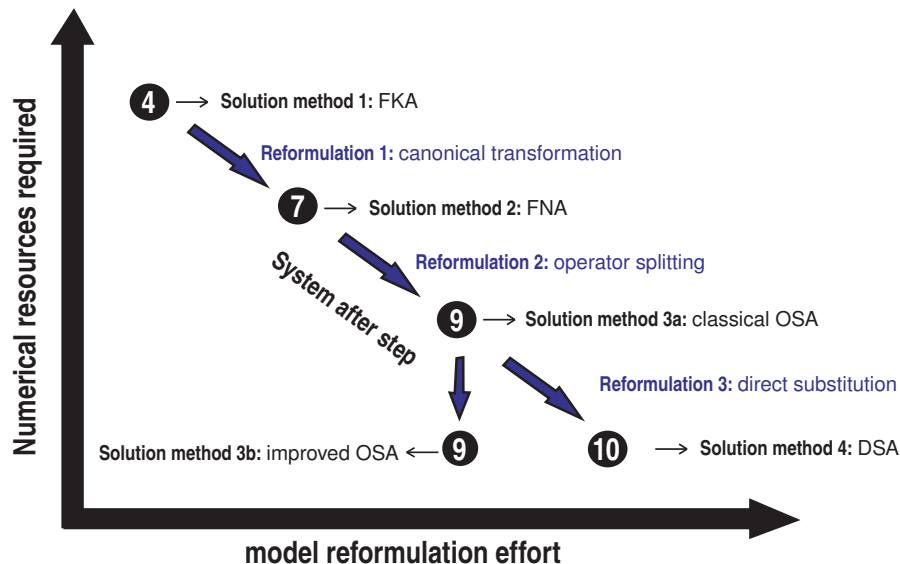
Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion



**Fig. 6.** The trade-off between numerical resource requirement and model reformulation effort.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

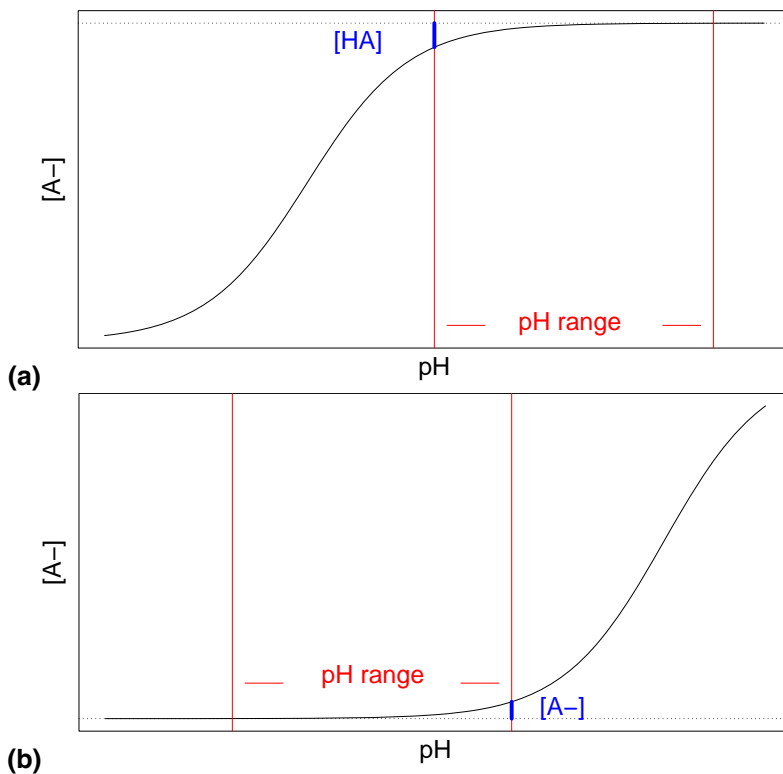
Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion



**Fig. A1.** The second case (a): the  $pK_{HA}^*$  of the reaction is smaller than the lower boundary of the pH range, the maximal error in proton concentration offset is  $[HA]$ . The third case (b): the  $pK_{HA}^*$  of the reaction is larger than the upper boundary of the pH range, the maximal error in proton concentration offset is  $[A^-]$ . For more explanation, see text.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion